CAMBRIDGE UNIVERSITY

No 6452

WEDNESDAY 25 JANUARY 2017

323

323

323

323

323

325

325

Vol cxlvii No 18

CONTENTS

Notices Calendar Discussion on Tuesday, 7 February 2017 Office of Pro-Vice-Chancellor Appointment of Chief Financial Officer Anti-slavery and Anti-trafficking Statement and Policy Vacancies, appointments, etc. Vacancies in the University

Events, courses, etc. Announcement of lectures, seminars, etc. **Regulations for examinations** Examination for the degree of Master of **Business Administration**

R

Business Administration	325
Reports	
Second Joint Report of the Council and the	
General Board on the consideration of	
student complaints of harassment and	
sexual misconduct	326
Report of the General Board on the	
establishment of a Professorship of	
International Law	337

Graces

Graces	
Graces to be submitted to the Regent House at a Congregation on 28 January 2017	337
Acta	
Approval of Graces submitted to the Regent House on 11 January 2017	338
End of the Official Part of the 'Reporter'	
College Notices	
Events	339
Societies, etc.	
Cambridge Philosophical Society	339
Society for the History of the University	339
External Notices	
University of Oxford	339



NOTICES

Calendar

28 January, Saturday. Congregation of the Regent House at 2 p.m. (see p. 337).

29 January, *Sunday*. Preacher before the University at 11.15 a.m., The Rev'd Dr Susan Durber, Minister of the United Reformed Church, Taunton and Moderator of the Faith and Order Commission of the World Council of Churches, formerly Principal of Westminster College, Cambridge.

7 February, Tuesday. Discussion at 2 p.m. in the Senate-House (see below).

13 February, Monday. Lent Term divides.

Discussions (at 2 p.m.)	Congregations
7 February	28 January
21 February	25 February
7 March	25 March
21 March	1 April
,	

Discussion on Tuesday, 7 February 2017

The Vice-Chancellor invites those qualified under the regulations for Discussions (*Statutes and Ordinances*, p. 103) to attend a Discussion in the Senate-House on Tuesday, 7 February 2017, at 2 p.m. for the discussion of:

1. Second Joint Report of the Council and the General Board, dated 23 January 2017 and 18 January 2017, on the consideration of student complaints of harassment and sexual misconduct (p. 326).

2. Report of the General Board, dated 18 January 2017, on the establishment of a Professorship of International Law (p. 337).

Office of Pro-Vice-Chancellor

23 January 2017

The Council gives notice that, after consultation with the General Board, and on the recommendation of the Nominating Committee for the appointment and reappointment of Pro-Vice-Chancellors (comprising the Vice-Chancellor as Chair; Professor Ross Anderson, Professor Dame Shirley Pearce (until 31 December 2016), and Professor Ian White (members of the Council); and Professor Philip Allmendinger and Professor Abigail Fowden (members of the General Board)), it has appointed Professor Andrew Neely, *SID*, as Pro-Vice-Chancellor (Enterprise and Business Relations) for three years from 1 March 2017.

The Council has also agreed to reappoint Professor Graham Virgo, *DOW*, as Pro-Vice-Chancellor (Education) for three years from 1 October 2017.

Appointment of Chief Financial Officer

23 January 2017

The Council, in consultation with the Vice-Chancellor Elect, has agreed to appoint Mr Anthony Odgers to the post of Chief Financial Officer, with responsibility for the University's commercial activity, from 1 May 2017.

Anti-slavery and Anti-trafficking Statement and Policy

23 January 2017

The Council has approved a statement and policy affirming the University's commitment to combatting slavery and human trafficking, in accordance with Section 54(1) of the Modern Slavery Act 2015. The statement and policy are available at http://www.registrarysoffice.admin.cam.ac.uk/governance-and-strategy/anti-slavery-and-anti-trafficking.

Anti-slavery and Anti-trafficking Statement for the financial year ending 31 July 2016 (pursuant to Section 54(1) of the Modern Slavery Act 2015)

The University of Cambridge is a common law corporation and is an exempt charity under the Charites Act 2011. The University consists of academic Schools, Faculties and Departments, libraries and other collections, administrative departments and, for the purposes of this statement, includes its wholly owned companies. Its mission is to contribute to society through the pursuit of education, learning, and research at the highest international levels of excellence.

The University is committed to combatting slavery and human trafficking and to acting with integrity in all its relationships. It has implemented an Anti-slavery and Anti-trafficking Policy reflecting this commitment (published below). To ensure compliance with this commitment, the University has revised its standard procurement and contract documentation which now contain warranties to this effect which suppliers and contractors must accept wherever possible. The University's dignity@work, equal opportunities, and whistleblowing policies are additional mechanisms which support the combat of modern slavery (see http://www.hr.admin.cam.ac.uk/policies-procedures).

Although committed to carrying out proper due diligence, as a higher education institution the University considers the risk of modern slavery to be low due to the nature of its limited supply chains apart from Cambridge University Press, a department of the University providing publishing services, which has different supply chains and has consequently developed its own anti-slavery measures (see http://www.cambridge.org/about-us/who-we-are/annual-report/anti-slavery-and-human-trafficking).

The University has not received any reports of instances of modern slavery over the past financial year but will continue to raise awareness of modern slavery and of the need for proper due diligence and risk assessment processes to be applied by staff and suppliers, in accordance with its policy.

This annual statement was approved by the Council on 23 January 2017.

Anti-slavery and Anti-trafficking Policy

Modern slavery encompasses slavery, forced and compulsory labour, and human trafficking whereby individuals are deprived of their freedom and are exploited for commercial or personal gain as enacted in the Modern Slavery Act 2015 ('the Act'). The University is committed to a zero tolerance approach to modern slavery and to acting with integrity in all its dealings, relationships, and supply chains. It expects the same high standards from all its staff, suppliers, contractors, and those with whom it does business. This policy applies to all employees, workers, consultants, and other persons doing business with the University including all its wholly owned companies, contractors, and suppliers.

The University acknowledges the risk that a supply chain may involve the use of a hidden or unknown subcontractor reliant on forced labour. Although the University as a higher education institution¹ considers the risk of modern slavery to be low due to the nature of its supply chains, it takes its responsibilities to combat modern slavery seriously as demonstrated by its promotion and adoption of the following policy measures:

- The prevention, detection, and reporting of modern slavery in any part of its business or supply chains is the responsibility of all those working for the University or under its control.
- Appropriate due diligence processes must be carried out in relation to modern slavery which may include considering human rights in a sector or country, the type of sector in which a service provider operates, the countries from which services are provided, the nature of relationships with suppliers, and the complexity of supply chain(s).
- All supply chain lines need to be continually risk assessed and managed in relation to modern slavery and any highrisk suppliers audited.
- The University encourages anyone to raise any concerns about modern slavery and will support anyone who acts in good faith. The University has a whistleblowing policy which can be used to report any instances of modern slavery (http://www.admin.cam.ac.uk/offices/hr/policy/whistleblowing.html).
- Wherever possible, the University will obtain warranties from suppliers that they are free of modern slavery (which
 can be passed on to subcontractors). These warranty clauses are contained in its standard procurement contract
 documentation available as Annex A to this policy (and also at http://www.admin.cam.ac.uk/cam-only/offices/
 purchasing/forms/).
- The University's Anti-slavery and Anti-trafficking Statement is published annually on the Registrary's Office website at http://www.registrarysoffice.admin.cam.ac.uk/governance-and-strategy/anti-slavery-and-anti-trafficking.
- The University has dignity@work and equal opportunities policies (see http://www.hr.admin.cam.ac.uk/policiesprocedures) and is committed to upholding human rights.
- The University will continue to develop its commitment to combat modern slavery and will provide staff training where appropriate.

Any breaches of this policy may result in the University taking disciplinary action against individual(s) and/or terminating its relationship with any organization or supplier.

This policy is managed by the Registrary's Office and was approved by the Council on 23 January 2017.

ANNEX A

Where we receive goods or services (to be inserted in all procurement contracts)

The Contractor acknowledges that as an organization carrying out business in the UK it is required to comply with the Modern Slavery Act 2015. The Contractor warrants that it does so and will take steps to ensure its operations and supply chains are trafficking- and slavery-free, including without limitation imposing substantially similar obligations to those in this clause where it is permitted to subcontract its obligations under this Agreement so that multi-level supply chains are addressed. The Contractor acknowledges and agrees that any breach of this warranty will constitute a material remediable breach of contract.

The Contractor further warrants that neither it nor any of its officers, employees, nor so far as it is aware any subcontractor or other persons associated with it, have been convicted of any offence involving slavery and human trafficking. The Contractor acknowledges and agrees that any breach of this warranty will constitute an irremediable breach of contract.

Where we provide goods or services

The University acknowledges that it is an organization carrying out business in the UK. It is required to comply with the Modern Slavery Act 2015 and that pursuant to Section 54 of that Act it will publish annually the steps it is taking to ensure its operations and supply chains are trafficking- and slavery-free.

¹ Cambridge University Press, a department of the University providing publishing services, has different supply chains and has consequently developed its own anti-slavery measures; see http://www.cambridge.org/about-us/who-we-are/annual-report/anti-slavery-and-human-trafficking.

VACANCIES, APPOINTMENTS, ETC.

Vacancies in the University

A full list of current vacancies can be found at http://www.jobs.cam.ac.uk/.

Accounts Administrator (Student Operations) in the Academic Division (fixed-term); salary: £25,298–£29,301; tenure: twelve months in the first instance; closing date: 6 February 2017; further details: http://www.jobs.cam.ac.uk/ job/12563; quote reference: AK11126

The University values diversity and is committed to equality of opportunity. The University has a responsibility to ensure that all employees are eligible to live and work in the UK.

EVENTS, COURSES, ETC.

Announcement of lectures, seminars, etc.

The University offers a large number of lectures, seminars, and other events, many of which are free of charge, to members of the University and others who are interested. Details can be found on individual Faculty, Department, and institution websites, on the What's On website (http://www.admin.cam.ac.uk/whatson/), and on Talks.cam (http://www.talks.cam.ac.uk/).

Brief details of upcoming events are given below.

African Archaeology Group	The John Alexander Seminar Series 2016–17: <i>Holocene</i> <i>rock art in the Jubbah Oasis (northern Arabia) and Afro-</i> <i>Asiatic connections</i> , by Ceri Shipton, on 30 January 2017 at 4.30 p.m., in the McDonald Institute, Seminar Room, Downing site	http://www.mcdonald.cam.ac.uk/ events/african-seminars
Equality and Diversity	Holocaust Memorial Day Lecture: <i>Inclusion and refugees</i> <i>in the UK</i> : <i>global challenges, local responses</i> , on 27 January 2017 at 12 noon, in the Old Library, Pembroke College; a panel discussion with Stephen Wordsworth, Council for At-Risk Academics, Dan Ellis, Cambridge Refugee Resettlement Campaign, and Ana Maldonado from Cambridge Ethnic Community Forum	https://hmd2017.eventbrite.co.uk (for booking)
	LGBT+ History Month Lecture: <i>Being out and LGBT</i> + <i>today</i> , by Professor Elena Rodriguez Falcon, University of Sheffield, on 5 February 2017 at 5.30 p.m. in the McGrath Centre, St Catharine's College	http://www.equality.admin.cam. ac.uk/events/lgbt-history- month-lecture-being-out-and- lgbt-today

REGULATIONS FOR EXAMINATIONS

Examination for the degree of Master of Business Administration

(Statutes and Ordinances, p. 431)

With effect from 1 October 2017

The General Board, on the recommendation of the Faculty Board of Business and Management, has approved an amendment to the regulations for this degree so as to introduce the award of a distinction.

Regulation 9.

By amending the regulation so as to read:

9. The names of the candidates who satisfy the Examiners shall appear in separate class-lists headed 'One-year candidates' or 'Two-year integrated candidates' or 'Executive M.B.A. candidates', according to the course of study that they have followed. The names of the candidates in each class-list shall be arranged in alphabetical order in a single class; a mark of distinction shall be affixed to the names of those students whose work is of special merit. The Chair of Examiners shall communicate the marks of all candidates to the Registrary.

REPORTS

Second Joint Report of the Council and the General Board on the consideration of student complaints of harassment and sexual misconduct

The COUNCIL and the GENERAL BOARD beg leave to report to the University as follows:

1. A first Report was published on 23 November 2016 (*Reporter*, 6445, 2016–17, p. 132), setting out proposals for a University procedure for handling cases of harassment and sexual misconduct between students. Following a Discussion on 6 December 2016 (*Reporter*, 6449, 2016–17, p. 284), a Notice in response to Discussion remarks was published on 14 December 2016 (*Reporter*, 6449, 2016–17, p. 269) and a Grace (Grace 1 of 14 December 2016) was submitted for the approval of the recommendations of the first Report.

2. The Grace was subsequently withdrawn (*Reporter*, 6450, 2016–17, p. 289) following continuing expressions of concern by members of the panel of volunteers in the Faculty of Law who provide legal advice and representation for student members of the University charged with disciplinary offences. The Council and General Board have accepted submissions that specific protections for affected persons should not simply be covered in guidance provided to those administering the procedure but should be incorporated into the formal procedure itself.

3. Consequently, small but important changes have been made to the original wording of section 5 of the proposed new Special Ordinance D(v) and to subparagraphs 6.2.3 and 6.2.4 of the proposed Procedure for Handling Cases of Student Harassment and Sexual Misconduct, as set out at Annex I of the first Report, together with consequential amendments to the Explanatory Notes and their appendix at Annex II.

4. These changes require a person who is invited to submit representations regarding the imposition of precautionary measures under the proposed Special Ordinance, or who is the subject of a complaint under the proposed Procedure, to be warned that any admissions made by that person may be used as evidence in any subsequent criminal investigation or proceedings in a court of law or in University disciplinary proceedings. They also require that a respondent under the proposed Procedure must, when or before being invited to interview, (i) be given sufficient information to enable her or him to understand the nature of the alleged misconduct, (ii) be notified that he or she does not have to say anything (and that no adverse inferences may be drawn from her or his failure to attend for interview or otherwise participate in the investigation), and (iii) be reminded that he or she is entitled to seek independent legal advice and be provided with sufficient notice of the interview as may reasonably be required to secure such advice.

5. These changes have been endorsed by the General Board's Education Committee, and have the support of the Senior Tutors' Committee and the Committee on Student Health and Wellbeing.

6. Accordingly, the Council and the General Board now recommend:

I. That, with immediate effect, a new regulation be established as follows:

CASES OF STUDENT HARASSMENT AND SEXUAL MISCONDUCT

The Council shall publish and keep under review a procedure for handling cases of student harassment and sexual misconduct between persons pursuing a course of study leading to the award of a degree, diploma, or certificate of the University.

II. That, with immediate effect, new Special Ordinance D (v) be established as follows:

SPECIAL ORDINANCE D (v):

Precautionary Action (Special Ordinance under Statute D I)

1. The Academic Secretary shall have power to impose any precautionary measures set out in Section 2 below on any person pursuing a course of study leading to the award of a degree, diploma, or certificate of the University if the Academic Secretary considers that any such measures are necessary:

- (*a*) to ensure that a full and proper investigation can be carried out in relation to any matter (either under a procedure in the University or by the police); and/or
- (b) to protect any person while any matter is being dealt with under a procedure in the University or as part of a criminal process.

Subject to Sections 5 and 6 below, the Academic Secretary may impose precautionary measures under this section in relation to any matter for an initial specified period up to 21 days and thereafter for successive specified periods of up to two months.

2. The precautionary measures which the Academic Secretary may impose under Section 1 above are any one or more of the following measures:

- (a) excluding the person from some or all of the University's facilities and/or premises;
- (b) imposing conditions on the person (i) in connection with that person's use of the University's facilities and/or premises or that person's contact with other persons or (ii) in such other ways as may be considered necessary; and
- (c) suspending the person in question either in full or in part from her or his studies.

3. The power under Section 1 may be exercised by a deputy appointed in writing by the Academic Secretary but shall be reported to the Academic Secretary within 24 hours of its exercise. Where the Academic Secretary has appointed a deputy under this Special Ordinance, any reference to the Academic Secretary in this Special Ordinance shall be construed as referring to that appointed deputy.

4. As soon as practicable after the Academic Secretary has decided to impose precautionary measures on any person, the Academic Secretary shall notify that person in writing as to the precautionary measures which have been imposed and the grounds for imposing them, making clear at the same time that such measures do not constitute any penalty or sanction or imply any finding of wrongdoing. Except where the Academic Secretary provides otherwise, precautionary measures shall take immediate effect as soon as they are communicated to the person on whom they are imposed.

5. Before deciding whether or not to impose (or re-impose) any precautionary measures on any person under this Special Ordinance, the Academic Secretary shall give that person a reasonable opportunity to submit written representations, except that the Academic Secretary shall not be obliged to provide such an opportunity where it is not possible or appropriate to do so due to the urgent or sensitive nature of the matter; where a person on whom precautionary measures are imposed has not been given an opportunity to submit representations before the decision to impose the precautionary measures was taken, the notification under Section 4 shall include a statement informing that person that she or he may request the Academic Secretary to review her or his decision by submitting written representations to the Academic Secretary within three days of receiving the notification. On receipt of any request for a review under this section, the Academic Secretary will review her or his decision to impose precautionary measures and will notify the person seeking the review as to the outcome of the review within three days. Whenever the Academic Secretary gives a person the opportunity to submit written representations under this section, the Academic Secretary shall warn that person that the University may be required to provide as evidence in any subsequent criminal investigation or proceedings in a court of law information regarding the matter, including any admission made in representations regarding the precautionary measures, and that any such admission may also be used as evidence in University disciplinary proceedings.

6. On the expiry of an initial, or any subsequent, period for which precautionary measures have been imposed, or if the Academic Secretary receives evidence of failure to comply with the terms of the precautionary measures, the Academic Secretary will review the position to determine whether or not the precautionary measures should be re-imposed for a further period and/or whether their terms should be revised, and the person who has been subject to the precautionary measures in question will be entitled to submit written representations and to be duly notified in accordance with Sections 4 and 5. In addition, a person on whom precautionary measures are imposed under this Special Ordinance shall at any stage have the right to request a review of the decision to impose such measures if there is a material change in the circumstances of the case. Such a request should be made in writing to the Academic Secretary, who will notify the person seeking the review as to the outcome of the review within five days of receiving the request for review.

7. The Academic Secretary may, at the request of the student, allow a term of residence, put the student in standing for the purposes of examination, or agree such other academic arrangement as he or she may think fit.

8. Any person on whom precautionary measures are imposed under this Special Ordinance may appeal any decision of the Academic Secretary or her or his deputy under this Special Ordinance to the Pro-Vice-Chancellor with responsibility for student matters, providing that all rights under this Special Ordinance to request the Academic Secretary to review her or his decision have first been exhausted. An appeal under this section may be initiated by sending to the Pro-Vice-Chancellor written grounds of appeal within five days of the date when the decision which is the subject of the appeal was notified to the appellant. The Pro-Vice-Chancellor shall issue a decision within five days of receiving the written grounds of appeal. The Pro-Vice-Chancellor shall have power to confirm, quash, or amend the original decision of the Academic Secretary or to refer it back to the Academic Secretary for further consideration. The Pro-Vice-Chancellor with responsibility for student matters may appoint another Pro-Vice-Chancellor to conduct an appeal initiated under this section on her or his behalf.

9. Any precautionary measures imposed under this Special Ordinance shall be reported to the College of the person on whom they have been imposed, and to the Council and the General Board, at the earliest opportunity.

III. That, with immediate effect, the final sentence of Special Ordinance D (iv) 7 (Statutes and Ordinances, p. 98) be amended and new Schedule D (iv) 7 inserted as follows:

The Advocate shall have the power to refer any student about whom a complaint is made under this section to a body or officer under another University procedure, as set out in the Schedule, and may defer any determination under this section pending that body's consideration of the matter.

SCHEDULE D (IV) 7

Amendment of this schedule is made by Grace.

Procedure to determine fitness to study Procedure for handling cases of student harassment and sexual misconduct

IV. That, *with immediate effect*, Regulation 4 of the Ordinance on initiation of proceedings before the University Tribunal, the Discipline Committee, or the Discipline Board (*Statutes and Ordinances*, p. 196) be amended so as to read:

4. If a member of the University is alleged to have committed an act or to have engaged in conduct for which he or she is liable to be prosecuted in a court of law and which is also a breach of the general regulations for discipline or other offence against the discipline of the University, the Advocate may, at her or his discretion, determine whether or when to bring a charge under the University's regulations, after taking into account whether, in her or his opinion, any proceedings against the member in a court of law in respect of that act or conduct are likely to be brought, and any other factors which appear relevant to her or him.

23 January 2017	L. K. Borysiewicz, <i>Vice-Chancellor</i>	Nicholas Gay	Michael Proctor
	Chad Allen	Nicholas Holmes	John Shakeshaft
	Ross Anderson	Alice Hutchings	Susan Smith
	Richard Anthony	Umang Khandelwal	Sara Weller
	R. Charles	Stuart Laing	I. H. White
	Stephen. J. Cowley	Mark Lewisohn	Mark Wormald
	Amatey Doku	Susan Oosthuizen	Jocelyn Wyburd
18 January 2017	L. K. Borysiewicz, <i>Vice-Chancellor</i> Chad Allen Philip Allmendinger Abigail Fowden David Good	A. L. Greer Roberta Huldisch Patrick Maxwell Martin Millett Richard Prager	Helen Thompson Graham Virgo Mark Wormald Chris Young

Annex I

PROCEDURE FOR HANDLING CASES OF STUDENT HARASSMENT AND SEXUAL MISCONDUCT

1. Glossary

1.1. In this procedure the following terms shall have the meanings set out below:

Code of Conduct	The Code of Conduct for Students in respect of Harassment and Sexual Misconduct, as set out in Appendix 1
Complainant	A Student who has made a complaint under this procedure
Working Day	Any day except weekends, public holidays, and any other day when the University Offices are closed
OSCCA	The Office of Student Conduct, Complaints, and Appeals
Panel	The Harassment and Sexual Misconduct Panel (see paragraph 6.3.1)
Respondent	A Student about whom a complaint has been made under this procedure
Student	A person pursuing a course of study leading to the award of a degree, diploma, or certificate of the University

2. Scope of procedure

- 2.1. This procedure applies where a Student wishes to complain that the behaviour of another Student contravenes the Code of Conduct and constitutes an offence against the discipline of the University.
- 2.2. A complaint under this procedure may be brought by or against two or more Students where the complaint is about harassment or sexual misconduct arising from the same event(s). In such cases references in this procedure to the 'Complainant' or the 'Respondent' shall be construed as appropriate as referring to more than one person.
- 2.3. A Complainant may choose whether to raise a complaint under this procedure or under an equivalent College procedure, if applicable. However, it is the expectation of the Colleges and the University that this procedure will normally be used where:
 - (a) the complaint relates to sexual misconduct; or
 - (b) the complaint relates to conduct occurring in the context of University societies or sports clubs; or
 - (c) the complaint is brought against Students at more than two Colleges.
- 2.4. A complaint of harassment or sexual misconduct may be brought under this procedure whether or not it has been reported to the police (but see paragraph 3.6).
- 2.5. A complaint cannot be brought under this procedure where the Complainant has previously made a complaint about the same event(s) which has been dealt with under the University's Student Complaints Procedure or a College complaints procedure.
- 2.6. The General Board shall approve and keep under review explanatory notes, to be read in conjunction with this procedure. Those notes shall include a policy on the use of personal information under this procedure.

3. General principles

- 3.1. Any reference in this procedure to a University officer or other named role includes a deputy appointed by that officer or role-holder to exercise the functions assigned to that officer under this procedure.
- 3.2. The University will act reasonably in considering complaints under this procedure, having regard to the individual circumstances of the case. Every effort will be made to ensure that all parties are treated with fairness and dignity.
- 3.3. The time limits set out in this procedure may be varied by the Head of OSCCA for good reason, after consultation with the Complainant and the Respondent as appropriate.
- 3.4. A written decision issued in accordance with this procedure shall also include the reasons for that decision.
- 3.5. The Head of OSCCA may suspend the consideration of a complaint at any stage of this procedure and/or refer the matter for consideration under another procedure, after consultation with the Complainant and the Respondent as appropriate.
- 3.6. Where the events which are the subject of a complaint under this procedure have been reported to the police, the Head of OSCCA will normally suspend the procedure pending the outcome of any police investigation and/or criminal proceedings.
- 3.7. If the Head of OSCCA considers that precautionary action is necessary to protect any person or to enable a full and proper investigation of the complaint to be carried out, the Head of OSCCA may refer the matter to the Academic Secretary with a view to the Academic Secretary exercising the discretion under Special Ordinance D (v): Precautionary Action.
- 3.8. Where, at any point during this procedure, the Complainant and Respondent have agreed to seek alternative resolution of the complaint under paragraph 5 but have been unable to reach an agreed outcome, the Head of OSCCA will consider whether further action should be taken under this procedure and, if so, at what stage.
- 3.9. The Complainant may withdraw a complaint at any time during this procedure, by notifying the Head of OSCCA in writing. Where a complaint is withdrawn no further action will be taken under this procedure, but the Head of OSCCA may refer the matter for consideration under another University procedure.
- 3.10. None of the members of any body constituted under this procedure will have any previous knowledge of the case or any material connection with either the Complainant or the Respondent. The holders of the offices to which this procedure refers shall appoint standing deputies to act on their behalf in the event of any conflict of interest.

4. Support and guidance

- 4.1. The Head of OSCCA will provide advice at the outset to help both Complainants and Respondents to understand this procedure.
- 4.2. Complainants and Respondents are entitled to be accompanied by a supporter at any meeting held under this procedure. A supporter may be a tutor, student representative, or a friend. Complainants and Respondents are also entitled to be accompanied by a legal representative at such meetings.

5. Alternative resolution

- 5.1. Alternative resolution may be suitable for dealing with some cases that are brought under this procedure, and Colleges play a key role in supporting such processes. Wherever appropriate, Complainants are encouraged to seek alternative resolution to their concerns before bringing a formal complaint under this procedure.
- 5.2. Alternative resolution may not be appropriate for some complaints of harassment or sexual misconduct because of the seriousness of the allegations, or because the relationship between the parties has broken down. In such cases the complaint will be considered in accordance with the formal procedure (paragraph 6).

6. Formal procedure

- 6.1. Raising a complaint with OSCCA
- 6.1.1. A Student who wishes to make a complaint under this procedure must do so in writing. The Complainant should set out details of the complaint together with details of any attempts at alternative resolution, if appropriate.
- 6.1.2. The complaint should be addressed to the Head of OSCCA.
- 6.1.3. A complaint must be made within three months of the occurrence of the events which are the subject of the complaint (but see paragraph 3.3).
- 6.1.4. On receipt the complaint will be considered initially by a group comprising the Head of OSCCA, the Pro-Vice-Chancellor (Education), and the Secretary of the Senior Tutors' Committee. The convenor of the group will be the Head of OSCCA. The group will determine (by a majority decision) whether to:
 - (a) refer the complaint for investigation under paragraph 6.2 of this procedure;
 - (b) dismiss the complaint because it is considered to be without merit, or vexatious, frivolous, or malicious;
 - (c) reject the complaint because it does not fall within the scope of this procedure;
 - (*d*) decline to refer the complaint for investigation under this procedure and recommend to the Complainant that the complaint is raised under a College procedure;
 - (e) decline to refer the complaint for investigation under this procedure for other reasons;
 - (f) recommend to the Complainant that alternative resolution of the complaint is sought.
- 6.1.5. The Head of OSCCA will notify the Complainant in writing of the decision of the group within ten Working Days of receipt of the written complaint.
- 6.1.6. In the event that a decision of the group falls within paragraph 6.1.4(b)-(f) and the Complainant is unhappy with that decision, the Complainant shall have the right to request a review of that decision in accordance with paragraph 6.6. The review will be considered by a Panel appointed in accordance with paragraph 6.3.1.
- 6.2. Investigation
- 6.2.1. Where a complaint is referred for investigation, the Head of OSCCA will appoint an investigator to carry out an investigation of the case. The role of the investigator is to prepare a report, which sets out the undisputed facts of the case and any points of difference and makes recommendations based on the evidence and policies in place.
- 6.2.2. The investigator shall conduct the investigation as the investigator thinks fit, within the context of the general principles set out in paragraph 3 and the explanatory notes issued by the General Board under paragraph 2.6. The investigator may interview (with their consent) the Complainant and the Respondent and any other person involved in the events which are the subject of the complaint and consider or request any other evidence which appears to the investigator to be relevant.
- 6.2.3. When or before inviting the Respondent to interview, the investigator must:
 - (*a*) give the Respondent (and, if relevant, her or his representative) sufficient information to enable the Respondent to understand the nature of the alleged misconduct, including for example a broad summary of the evidence and the number and identities of those involved, together with the place where, and time when, the misconduct is alleged to have been carried out;
 - (b) notify the Respondent that he or she does not have to say anything and that no adverse inferences may be drawn from the Respondent's failure to attend for interview or otherwise participate in the investigation;
 - (c) warn the Respondent that the University may be required to provide as evidence in any subsequent criminal investigation or proceedings in a court of law information regarding the complaint, including any admission made in the course of this procedure (and/or any made during mediation or any subsequent disciplinary proceedings) and that any admission made in the course of this procedure may also be used as evidence in University disciplinary proceedings, save that the University will abide by the confidentiality of any mediation, and anything which is said in the course of mediation will not be used in evidence in any University disciplinary proceedings;
 - (d) remind the Respondent that he or she is entitled to seek independent legal advice, and provide sufficient notice of the interview as the Respondent may reasonably require to secure such advice if he or she wishes.

- 6.2.4 Where the Respondent declines to cooperate with an investigation, the investigator may still continue with the investigation in the absence of the Respondent's cooperation. The investigator will aim to complete the investigation within twenty Working Days of the complaint being referred for investigation, but some cases may require longer, in which case the investigator will keep the Complainant and the Respondent informed about progress.
- 6.2.5. On receipt of the investigator's report, the Head of OSCCA may:
 - (a) refer the complaint for consideration by a Harassment and Sexual Assault Panel under paragraph 6.3; or
 - (b) decide to deal with the complaint under paragraph 6.3 without reference to the Panel.
- 6.3. Consideration of the case
- 6.3.1. If the case is referred to a Panel, the Registrary will appoint three members of the University, one of whom shall be a Student, to serve.
- 6.3.2. The Head of OSCCA or the Panel shall consider the complaint and the report prepared by the investigator. The Head of OSCCA or the Panel may meet (with their consent) the Complainant and/or the Respondent and any other person involved in the events which are the subject of the complaint.
- 6.3.3. Having considered the complaint and the report, and following any meeting(s) under paragraph 6.3.2, the Head of OSCCA or the Panel (by a majority decision) may:
 - (a) refer the complaint for consideration under the University's disciplinary procedures (see paragraph 6.5);
 - (b) dismiss the complaint because it is considered to be without merit, or vexatious, frivolous, or malicious;
 - (c) decide that no further action should be taken under this procedure;
 - (d) recommend to the Complainant and the Respondent that they should seek alternative resolution of the complaint;
 - (e) propose one or more of the resolutions set out in paragraph 6.4.1.
- 6.3.4. The Complainant and the Respondent will be notified in writing of the decision of the Head of OSCCA or the Panel under paragraph 6.3.3 within twenty Working Days.
- 6.3.5. In the event that a decision of the Head of OSCCA or the Panel falls within paragraph 6.3.3 (b)–(e) and the Complainant is unhappy with that decision, the Complainant shall have the right to request a review of that decision in accordance with paragraph 6.6. The review will be considered by a committee of three persons appointed by the Registrary, one as Chair of the committee.
- 6.4. Resolutions
- 6.4.1. The Head of OSCCA or the Panel may propose a resolution to the complaint, which may include (but are not limited to) the following:
 - (*a*) that the Respondent will agree to abide by a conduct agreement issued by the Head of OSCCA, a record of which will be retained by the University and which may be taken into account if a further complaint is made against the Respondent under this procedure;
 - (b) with the prior approval of the relevant body, that the Respondent will take a period of intermission from study;
 - (c) that the Respondent will attend behaviour awareness training or workshops.
- 6.4.2. Both the Complainant and the Respondent must agree to the proposed resolution of the complaint. The Head of OSCCA will facilitate the process of reaching agreement between the Complainant and the Respondent and will issue written confirmation of any agreed resolution(s) to the Complainant and the Respondent.
- 6.4.3. If attempts at reaching an agreed resolution are unsuccessful the Head of OSCCA shall refer the complaint for consideration under the University's disciplinary procedures in accordance with paragraph 6.5.
- 6.4.4. If there are grounds to believe that the Respondent has failed to comply with the terms of an agreed resolution, the Head of OSCCA shall determine whether the complaint should be referred for consideration under the University's disciplinary procedures in accordance with paragraph 6.5.
- 6.5. Disciplinary proceedings
- 6.5.1. Where the Head of OSCCA or the Panel refers a complaint for consideration under the University's disciplinary procedures, it will be referred to the University Advocate in accordance with Special Ordinance D (iv) or to any other relevant officer. The University Advocate or other relevant officer will be provided with a copy of all relevant material, including the report of the investigator and a copy of the decision of the Head of OSCCA or the Panel.
- 6.5.2. The University Advocate or other relevant officer may decide to:
 - (*a*) invoke disciplinary proceedings against the Respondent;
 - (b) refer the case back to the Head of OSCCA for further attempts at an agreed resolution;
 - (c) take no further action.
- 6.5.3. The Complainant and the Respondent will be notified in writing of the decision of the University Advocate or other relevant officer within twenty Working Days.

- 6.5.4. Where the University Advocate or other relevant officer decides to take no further action, the Complainant shall have the right to request a review of that decision in accordance with paragraph 6.6. The review will be considered by a committee of three persons appointed by the Registrary, one as Chair of the committee.
- 6.5.5. Where disciplinary proceedings are brought against the Respondent, a copy of all relevant material, including the report of the investigator and a copy of the decision of the Head of OSCCA or the Panel will be made available to the relevant disciplinary body.
- 6.6. Review
- 6.6.1. In accordance with paragraphs 6.1.6, 6.3.5, or 6.5.4 of this procedure, the Complainant may seek the review of a decision made under this procedure. The review will be carried out by the body specified in the relevant paragraph.
- 6.6.2. A request for a review shall be made in writing and sent to the Registrary within 15 Working Days of written notification of the decision (unless, for good reason, the Registrary permits a longer period). The request for review shall specify the grounds for review which may be only one or more of the following:
 - (a) that there was material procedural irregularity in the consideration of the Complainant's case;
 - (b) that there was bias or prejudice on the part of the decision-maker;
 - (c) that the decision reached was perverse in that it was one which no reasonable decision-maker could have reached on the available evidence;
 - (d) that fresh material evidence is available, which was not available and/or not presented for good reason at the time of the original decision.
- 6.6.3. The request for a review should be accompanied by supporting documentation.
- 6.6.4. The reviewing body will consider the request for review and the documentation available to the original decision-maker. The reviewing body may, at its discretion, hold a hearing and regulate arrangements for the conduct of the hearing.
- 6.6.5. The reviewing body will issue an adjudication in writing as soon as possible, which shall normally be within twenty Working Days of the receipt of the request for a review or (if a hearing is held) within ten Working Days of the hearing. The reviewing body shall have power to confirm, quash, or amend the original decision or refer it back to the decision-maker for further consideration.

7. **Reporting**

- 7.1. An annual report of complaints considered under this procedure will be made to the Council, the General Board, and the Colleges, in which references to individual cases will be made anonymously.
- 7.2. The Head of OSCCA will be responsible for the regular review of this procedure.

Appendix 1

CODE OF CONDUCT FOR STUDENTS IN RESPECT OF HARASSMENT AND SEXUAL MISCONDUCT

The University is committed to providing an environment that is free from discrimination and affirms the right of all members to be treated with dignity and respect. The University will not tolerate harassment of one member of its community by another nor sexual misconduct. The University takes allegations of harassment and sexual misconduct very seriously and may take action, including disciplinary action, in response to a complaint from a student.

The University defines harassment as single or repeated incidents involving unwanted or unwarranted conduct towards another person which it is reasonable to think would have the effect of (i) violating that other's dignity or (ii) creating an intimidating, hostile, degrading, humiliating, or offensive environment for that other.¹

Harassment may be verbal, psychological, or physical, in person or via a virtual platform, or through other methods of contact. Harassment may occur in the course of an academic, sporting, social, cultural, or other activity either within the Precincts of the University² or elsewhere in the context of a person's membership of the University, or in circumstances where the victim of the harassment is a member, officer, or employee of the University or a College.

Under this Code of Conduct unacceptable behaviour, whether intentional or not, can take a variety of different forms. The following descriptions are not exhaustive, but give an indication of the types of behaviour which the University considers to be unacceptable:

- making sexually offensive comments about dress or appearance, the display or distribution of sexually explicit material, or demands for sexual favours;
- engaging in harassment on the grounds of a person's sexuality (or assumptions about a person's sexuality) including making derogatory homophobic, transphobic, or biphobic remarks or jokes aimed at a particular person, offensive comments relating to a person's sexuality, refusal to acknowledge a person's gender or identity, or threats to disclose a person's sexuality to others;

¹ See Regulation 6 of the General Regulations for Discipline.

² See Regulation 2 of the regulations for Residence and Precincts of the University.

- making offensive references to a person's race, ethnicity, skin colour, religion or nationality, dress, culture, background or customs which have the effect of ridiculing or undermining an individual or fostering hatred and/ or prejudice towards individuals or particular groups;
- ignoring, disparaging, or ridiculing a person because of mistaken assumptions about their capabilities, or making offensive reference to an individual's appearance, in the context of their disability;
- controlling or coercive behaviour, such as pressure to subscribe to a particular political or religious belief.

Online harassment may take the form of intimidating, offensive, or graphic posts on social media sites or chat rooms, or communications by email, text, or instant messaging.

Sexual misconduct includes the following, whether or not within a sexual or romantic relationship, including where consent to some form of sexual activity has been given and then withdrawn, or if consent has been given on previous occasions:

- sexual intercourse or engaging in a sexual act without consent;
- attempting to engage in sexual intercourse or engaging in a sexual act without consent;
- sharing private sexual materials of another person without consent;
- kissing without consent;
- touching inappropriately through clothes without consent;
- inappropriately showing sexual organs to another person;
- repeatedly following another person without good reason;
- making unwanted remarks of a sexual nature.

Annex II

EXPLANATORY NOTES ON HANDLING CASES OF STUDENT HARASSMENT AND SEXUAL MISCONDUCT

These notes should be read in conjunction with the Procedure for Handling Cases of Student Harassment and Sexual Misconduct and the Code of Conduct on Harassment and Sexual Misconduct. If there is any conflict between these notes and the procedure, the procedure takes precedence.

The numbers below refer to the numbers of the paragraphs in the version of the procedure approved on [date].

Any questions about the procedure and these notes should be directed to the Head of the Office of Student Conduct, Complaints, and Appeals (OSCCA) in the first instance, at:

Address:	Head of OSCCA Student Registry 4 Mill Lane Cambridge CB2 1RZ
Tel.:	+44 (0)1223 (7)61816
Email:	oscca@admin.cam.ac.uk

2. Scope of procedure

2.1. This procedure applies only to complaints by students about the conduct of other students. The Head of OSCCA will be able to advise on the correct procedure for other types of complaint made by or about students.

Because this procedure places an emphasis on reaching consensual resolution, complaints made by a third party and anonymous complaints will not normally be accepted (see paragraph 2 of the Policy in the Appendix). College Tutors and others may wish to discuss alternatives to the use of this procedure with the Head of OSCCA.

In cases where a complaint is referred for consideration under the University's disciplinary procedures, there are different disciplinary procedures applicable depending on whether the Respondent is a matriculated student or not.

Matriculated students, i.e. all those pursuing a degree course, will be subject to the University's General Regulations for Discipline and the disciplinary procedure set out in the University's Statutes and Ordinances.

Non-matriculated students studying for a diploma or certificate will be subject to the disciplinary procedures of the University institution responsible for their course of study.¹

For the purposes of the University's General Regulations for Discipline, a definition of harassment is set out in Regulation 6 (*Statutes and Ordinances*, p. 191). This definition covers acts of sexual misconduct. Under Regulation 6, a member of the University or person *in statu pupillari* commits a breach of the General Regulations for Discipline if that person engages in an act of harassment against another member, officer, or employee of the University or a College in any circumstances.

Complaints about the behaviour of officers of the Cambridge University Students' Union or the Graduate Union in the course of their duties should be referred to the relevant Union.

¹ See the Administering Bodies under the regulations for Diplomas and Certificates open to Non-members of the University (*Statutes and Ordinances*, p. 574).

- 2.3. Sub-paragraph (*c*) anticipates a complaint in which there is more than one Complainant and/or Respondent, in accordance with paragraph 2.2.
- 2.4. Bringing a complaint under this procedure does not prevent the Complainant from reporting the matter to the police at any time. See also paragraph 3.6 concerning suspension of this procedure pending the outcome of any police investigation and/or criminal proceedings. The University will support the Complainant in reaching a decision on whether to make a complaint to the police.

See the Appendix regarding the exceptional circumstances in which the Head of OSCAA may report the matter to the police.

2.5. A complaint that has been dismissed by a College will be treated as one which has been dealt with by the College for the purpose of this procedure.

3. General principles

3.2. A Complainant who believes that he or she has suffered any reprisal, or has received a threat of reprisal, as a result of making a complaint in good faith should raise the matter with the Head of OSCCA.

The Head of OSCCA will keep the Complainant and the Respondent informed of the general progress of the complaint. Where a complaint under this procedure leads to disciplinary proceedings, the Complainant will be kept informed of the progress in the proceedings and will be formally notified of the outcome of any disciplinary hearing and any sanctions applied.

3.5. The Head of OSCCA may suspend the consideration of a complaint and recommend to the Complainant that he or she should raise it under an external complaints procedure (see also paragraph 6.1.4 concerning College complaints procedures).

The Head of OSCCA may refer a case for consideration under one or more of the following internal procedures:

- the University's Fitness to Study procedure (*Statutes and Ordinances*, p. 225) or an equivalent College procedure
- the University's Fitness to Practise procedures (Statutes and Ordinances, p. 207 and p. 215)
- 3.6. *Guidance for Higher Education Institutions*² recommends that, if a matter is being dealt with under the criminal process, then, save for taking any necessary precautionary action (see paragraph 3.7), any internal disciplinary process should be suspended until the criminal process is at an end.
- 3.7. Under Special Ordinance D (v), the Academic Secretary has authority to take precautionary action where any matter is being dealt with under a University procedure or as part of a criminal process.

Where the risk is not considered high enough to require the suspension of a student in full from the student's studies, it may still be necessary to exclude the student from certain University facilities or premises, or impose conditions on the student's access to such facilities and premises. If appropriate, a College or Colleges may be consulted and agree to exclude the student from certain College facilities or accommodation. Precautionary action may include a requirement that the student should have no contact of any kind with named individuals, for example, the complainant or potential witnesses.

This action is not intended to be a punishment and it does not make any presumption of wrongdoing. It is to protect both parties whilst the allegation is being dealt with under a University procedure or as part of a criminal process, and/or to ensure that a full and proper investigation (whether by the police or the University) can be carried out.

If a student breaches any restrictions that have been imposed, this may be a breach of the General Regulations for Discipline. A breach can be considered as part of any subsequent University investigation of the original complaint or as a separate matter. A breach of the restrictions may be considered to increase the level of risk to the University community and therefore may increase the level of restriction subsequently imposed upon a student.

- 3.9. A Complainant who is considering whether to withdraw a complaint may wish to discuss the matter with those able to offer support as listed in the note to paragraph 4.2.
- 3.10. No person serving under this procedure as a member of a decision-making body or as an investigator will have any previous knowledge of the case or any material connection to the Complainant or the Respondent. Normally no person who is a member of the Department/Faculty or College of the Complainant or the Respondent will be involved in consideration of the case. The Complainant or the Respondent (or their representatives) will be entitled to object to the involvement of an individual for good cause. Standing deputies are appointed on a rolling basis, without reference to a specific complaint.

² Guidance for Higher Education Institutions on How to Handle Alleged Student Misconduct which may also Constitute a Criminal Offence prepared and written by Pinsent Masons and published by Universities UK in October 2016.

4. Support and guidance

- 4.2. The Head of OSCCA will be able to direct both Complainants and Respondents to the following sources of advice and support:
 - personal support available within the collegiate University and in the local community
 - guidance and support for seeking alternative resolution of the complaint, including mediation
 - legal advice and representation available free of charge from a panel of volunteers in the Faculty of Law; a list is available from the Secretary to the Faculty Board of Law.

The Appendix sets out the University's policy on the use of personal information under this procedure. A copy of the Appendix should normally be provided to Complainants, Respondents, and witnesses to events relating to the complaint so that they understand how their personal information will be used and the limits on confidentiality. The policy explains how information may be shared with certain University and College officers.

The Complainant and the Respondent can be accompanied to any meeting under the procedure by a College tutor, a student representative, or a friend. 'Student representative' in this context means a CUSU or GU officer or an advisor with the Student Union Advice Service. In addition, each is entitled, if they wish, to be accompanied by a legal representative; the officer convening a first meeting under the procedure will normally remind the Complainant/Respondent of this in writing.

5. Alternative resolution

See the note on paragraph 4.2 above.

6. Formal procedure

- 6.1.1. A formal written complaint should be addressed to the Head of OSCCA and sent to the postal or email address above, using the form available online at [URL]. Anonymous complaints will not normally be accepted; see the Appendix.
- 6.1.4. If a complaint does not fall within the scope of this procedure, it may nevertheless be referred for consideration under another procedure; see the note on paragraph 3.5 above.

See paragraph 2.3 concerning the complaints which would ordinarily be considered under this University procedure; the group may agree to consult with the Complainant and/or the College before deciding whether to decline a complaint and recommend its referral for consideration under that College's equivalent procedure.

The University reserves the right, after due consideration, to take no further action and may decline to refer the matter for investigation under this procedure for any reason, for example, because it lacks the necessary investigatory powers or forensic capability.

- 6.2.1. The Head of OSCCA will appoint an investigator from a pool of investigators (which may include external investigators) who have undergone training in investigating complaints under this procedure.
- 6.2.2. The following sets out the normal format of the investigation. The investigator will offer to have individual meetings with the Complainant and with the Respondent, and may also seek to have a meeting with any witnesses. Witnesses may be accompanied at a meeting by a supporter and/or legal representative in the same way as the Complainant and the Respondent are entitled to be accompanied. Each meeting will be minuted and the minutes agreed with those present as a correct record (or any disagreement noted), at which point any other record of the meeting will be destroyed.
- 6.2.3. When the Respondent is reminded that he or she is entitled to seek independent legal advice, reference will be made to the availability of legal advice and representation free of charge from a panel of volunteers in the Faculty of Law (see paragraph 4.2 above).
- 6.2.5. The Head of OSCCA will normally refer a complaint alleging sexual misconduct to the Panel.
- 6.3.2. Both the Head of OSCCA and the Panel have discretion as to whom they meet.
- 6.3.5. The Registrary shall normally appoint members from among those appointed to serve on the panels of the Discipline Committee and University Tribunal and as reviewers under the Student Complaints Procedure.
- 6.4.1. See the Appendix. A conduct agreement may include an undertaking by the Respondent to refrain from contact with the Complainant for a specified period of time.

The relevant body for the purpose of the consideration of a request for intermission will be the Board of Graduate Studies³ for applications concerning graduate students⁴ and the Council's Applications Committee for applications concerning other students.⁵ Approval may be granted by Chair's action on behalf of the relevant body.

³ See the regulations for the Board of Graduate Studies, *Statutes and Ordinances*, p. 120.

⁴ See the General Regulations for Admission as a Graduate Student, *Statutes and Ordinances*, p. 421.

⁵ See the regulations for Allowances to Candidates for Examinations, *Statutes and Ordinances*, p. 229.

- 6.4.4. Where there is evidence of conduct constituting a failure to comply with an agreed resolution, the Head of OSCCA may decide that the original complaint should be referred for consideration under disciplinary procedures under paragraph 6.4.4. The Head of OSCCA may also consider whether a referral under the University's disciplinary procedures should be made in respect of the conduct which is alleged to constitute a breach of the terms of the agreed resolution.
- 6.5.1. See the Appendix.
- 6.5.4. See the note on paragraph 6.3.5 above.
- 6.5.5. See the Appendix.
- 6.6.5. Save where the reviewing body refers the original decision back to the decision-maker for further consideration, the adjudication in writing that is issued by the reviewing body will complete the University's internal procedures in relation to the complaint and, when the adjudication is sent to the Complainant, it should be accompanied by a Completion of Procedures letter in a form which accords with guidance published by the Office of the Independent Adjudicator from time to time.

Appendix: Policy on the use of personal information under the Procedure for Handling Cases of Student Harassment and Sexual Misconduct

A copy is to be provided to the Complainant, the Respondent, and any witnesses at the earliest contact.

1. The overall purpose of processing personal data in the context of the investigation and resolution of complaints of harassment and sexual misconduct is to decide what steps can appropriately be taken in response to such complaints. Personal data will be disclosed only to those persons who need to see such data for the purposes of conducting an investigation, determining or recommending a resolution, or deciding what other steps can appropriately be taken. Such persons may include the Head of OSCCA, the Pro-Vice-Chancellor (Education), the Secretary of the Senior Tutors' Committee, the Academic Secretary, members of the Harassment and Sexual Misconduct Panel, the investigator assigned to the case, solicitors in the University's Legal Services Office, the University Advocate (or other relevant officer), and members of any committee constituted under the procedure for the purposes of considering a review. An investigation under the procedure is not intended to arrive at a conclusion as to whether a breach of disciplinary regulations has been committed. In the circumstances, documentation generated in the course of an investigation under the procedure may not be disclosed in full to the Complainant and the Respondent except in so far as is reasonably necessary to conduct and to progress a fair investigation or a person has explicitly consented to the disclosure of personal data to the extent that the data relate to her or him.

2. In the interest of fairness to all parties, the University will not normally accept an anonymous complaint under this procedure. Where a complaint is brought under the procedure, the identity of the Complainant may be provided to the Respondent (and the Respondent's supporter and legal representative, if applicable), together with sufficient information to enable the Respondent to understand the gist or substance of the complaint. If you have concerns about the sharing of information between the Complainant and Respondent you should seek advice from the Head of OSCCA.

3. For both the student making the complaint and the student against whom the complaint has been made, the University will seek your written consent before providing the following people with a summary of the complaint, including your name, so that they are aware of the complaint and able to assist in providing you with support:

Your College Senior Tutor Your Personal Tutor or Graduate Tutor

In some cases, your written consent may also be sought to disclose information to the following:

Your Head of Department or Faculty

Your Supervisor (if you are a research student)

4. The investigator's report will normally be released to the Complainant and the Respondent, but the minutes of any individual meetings will not usually be disclosed with the report. If the matter subsequently becomes the subject of disciplinary proceedings and the decision is taken to charge the Respondent, the Complainant's written complaint and the minutes of any individual meetings with the Complainant and the Respondent and/or with any witnesses will form part of the evidence in those proceedings and can therefore be expected to be disclosed to both sides in full at that point.

5. The University will normally respect the wishes of a person who is the victim of a crime and does not wish to report the matter to the police. However, in exceptional circumstances, where the facts as they emerge give rise to concerns that there is a significant risk to members of the community, the Head of OSCCA may decide to refer the matter to the police. Unless there are exceptional reasons related to the case, the Head of OSCCA will normally inform the Complainant of the intention to report the matter to the police and give reasons before doing so.

6. The University may be required to provide as evidence in any subsequent criminal investigation or proceedings in a court of law information regarding the complaint, including any admission made in the course of this procedure (and/or any made during mediation or any subsequent disciplinary proceedings). Any admission made in the course of this procedure may also be used as evidence in University disciplinary proceedings, save that the University will abide by the confidentiality of any mediation and anything which is said in the course of mediation will not be used in evidence in any University disciplinary proceedings.

7. Following completion of the procedure, the investigator's report and a record of the outcome, including any conduct agreement entered into by the Respondent, will be retained securely by the Head of OSCCA usually for not more than one year beyond the Respondent's period of study at the University. This information will be used for the purposes of responding to any complaints regarding the application of this procedure as well as for compiling anonymous statistics regarding its use. Further, where any complaint is subsequently submitted under this procedure by the same Complainant, or by a different complainant, in respect of the same Respondent, giving the University reasonable cause for concern regarding an emerging pattern of potential harassment and/or sexual misconduct, this information may be taken into account by the Head of OSCCA or the Harassment and Sexual Misconduct Panel, as appropriate, in reaching a decision under paragraph 6.3.3 of the procedure, and may also be provided to the University Advocate or other relevant officer if relevant for the purposes of conducting disciplinary proceedings or referral for consideration under another procedure under paragraph 3.5 of this procedure.

8. Nothing in this policy is intended to prejudice any rights of access to personal data which any person may have under the Data Protection Act 1998 or otherwise.

9. If you have any questions or concerns about this policy, please contact the Head of OSCCA in the first instance.

Report of the General Board on the establishment of a Professorship of International Law

The GENERAL BOARD begs leave to report to the University as follows:

1. Professor Stephen Toope takes up office as Vice-Chancellor on 1 October 2017. Professor Toope is a scholar specializing in human rights, international dispute resolution, international environmental law, the use of force, and international legal theory; he represented Western Europe and North America on the UN Working Group on Enforced or Involuntary Disappearances from 2002–07. He is currently Director of the University of Toronto's Munk School of Global Affairs, and formerly served as President and Vice-Chancellor of the University of British Columbia. 2. Following consultation with the Faculty Board of Law, and with the concurrence of the Council, the General Board has agreed to propose the establishment of a Professorship of International Law for Professor Toope. The single-tenure Professorship would be tenable, under the provisions of Statute C I 7(b), concurrently with the office of Vice-Chancellor until 30 September 2024. The office of Professor would be held without stipend, under the provisions for leave granted under Special Ordinance C (i) 2(b).

3. The General Board accordingly recommend:

That a Professorship of International Law be established from 1 October 2017 until 30 September 2024 for the tenure of Professor Stephen Toope, assigned to the Faculty of Law, and placed in the Schedule to Special Ordinance C (vii) 1.

18 January 2017	L. K. BORYSIEWICZ, Vice-Chancellor	Roberta Huldisch
	Philip Allmendinger	PATRICK MAXWELL
	Abigail Fowden	MARTIN MILLETT
	David Good	RICHARD PRAGER
	A. L. Greer	HELEN THOMPSON

GRAHAM VIRGO Mark Wormald Chris Young

GRACES

Graces to be submitted to the Regent House at a Congregation on 28 January 2017

The Council has sanctioned the submission of the following Graces to the Regent House at a Congregation to be held on 28 January 2017:

That the following persons be admitted to the degree of Master of Arts under the provisions of Statute B II 2:

- 1. GABRIELLE BUCK BENNETT, Fellow of Downing College.
- 2. RICHARD THOMAS JOHANNES BERG RUST, Fellow of Hughes Hall.

3. MARK WILLIAM GROSS, Fellow of King's College and Professor of Pure Mathematics in the Department of Pure Mathematics and Mathematical Statistics.

4. JOSSY SAYIR, Fellow of Robinson College.

5. JAY THEODORE STOCK, Fellow of Downing College and Reader in Human Evolution and Adaptability in the Department of Archaeology and Anthropology.

6. KAMRAN YUNUS, Fellow of Downing College.

ACTA

Approval of Graces submitted to the Regent House on 11 January 2017

The Graces submitted to the Regent House on 11 January 2017 (*Reporter*, 6450, 2016–17, p. 307) were approved at 4 p.m. on Friday, 20 January 2017.

E. M. C. RAMPTON, Acting Registrary

END OF THE OFFICIAL PART OF THE 'REPORTER'

COLLEGE NOTICES

Events

Homerton College: the Kate Pretty Lecture

The inaugural Kate Pretty Lecture, entitled *The Cambridge of tomorrow* – *how can Cambridge's experts now contribute to the region and the world?*, will be given by the Vice-Chancellor, Professor Sir Leszek Borysiewicz, FRS, on Friday, 17 February 2017 at 5.30 p.m. The lecture will be held in the auditorium at Homerton College, followed by a canapé reception in the Great Hall. The event is free and open to all; for more information and to register for a place, visit https://www. homerton.cam.ac.uk/KPL.

SOCIETIES, ETC.

Cambridge Philosophical Society

The Society's G. I. Taylor Lecture will take place at 6 p.m. on Monday, 30 January 2017, in the Bristol-Myers Squibb Lecture Theatre, Department of Chemistry, Lensfield Road. Professor Michael Cates, FRS, FRSE, Lucasian Professor of Mathematics, will give a lecture entitled Using light to orchestrate the assembly of self-propelled particles into microfluidic devices. Further details are available at http://www. cambridgephilosophicalsociety.org/lectures.shtml.

Society for the History of the University

The next meeting will be held at 8.30 p.m. in the Old Library, Darwin College, on Thursday, 9 February 2017. Mr Mike Petty will give a paper entitled *The albatross inheritance – what future for Cambridge's past; is the internet the answer*? Refreshments will be served from 8 p.m.

EXTERNAL NOTICES

University of Oxford

Mansfield College: Visiting Fellowship 2017–18; closing date: 31 March 2017 at 12 noon; further details and application form: https://www.mansfield.ox.ac.uk/vacancies

Wolfson College: Senior Tutor; salary: £55,000; closing date: 8 February 2017 at 12 noon; further details: https://www.wolfson.ox.ac.uk/vacancies

Worcester College: Student Welfare and Administrative Officer; salary: £27,629–£32,958; closing date: 3 February 2017 at 12 noon; further details: http://www.worc.ox.ac.uk/jobs

Notices for publication in the *Reporter*, or queries concerning content, should be sent to the Editor, Cambridge University Reporter, Registrary's Office, The Old Schools, Cambridge, CB2 1TN (tel. 01223 332305, email **reporter.editor@admin.cam.ac.uk**). Advice and information on content submission is available on the *Reporter* website at http://www.reporter.admin.cam.ac.uk/. Copy should be sent as early as possible in the week before publication; short notices will be accepted up to **4 p.m. on Friday** for publication the following Wednesday. Inclusion of notices is at the discretion of the Editor.

© 2017 The Chancellor, Masters, and Scholars of the University of Cambridge.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, without the prior permission in writing of the University of Cambridge, or as expressly permitted by law.

The University is the owner or the licensee of all intellectual property rights in the site and in the material published on it. Those works are protected by copyright laws and treaties around the world. All such rights are reserved.

Material prepared for the primary purpose of providing information about the University of Cambridge, its teaching and research activities, its subsidiary companies and organizations with which it is associated or affiliated has been placed on the site by the University ('University Material').

Subject to statutory allowances, extracts of University Material may be accessed, downloaded, and printed for your personal and noncommercial use and you may draw the attention of others within your organization to University Material posted on the site.