

## APPENDIX 1: CHEST 2007–08 LATEST FORECAST

	<b>Original Budget 2007–08</b>	<b>Latest Forecast 2007–08</b>	<b>Changes to Chest 2007–08</b>
	£m	£m	£m
<b>Income</b>			
Grants from the Funding Council	176.8	177.1	0.3
Teacher Development Agency	3.3	3.2	(0.1)
Academic Fees	66.9	69.4	2.5
Research Grants and Contracts	30.8	26.2	(4.6)
Endowment Income and Interest Receivable	13.2	11.3	(1.9)
Other Operating Income	8.5	8.3	(0.2)
Cambridge Assessment	5.4	9.4	4.0
Other Services Rendered	1.1	1.1	0.0
<b>TOTAL INCOME</b>	<b>306.0</b>	<b>306.0</b>	<b>(0.0)</b>
<b>Allocation</b>			
Academic Departments	144.9	143.7	1.2
Academic Institutions and Services	26.8	26.6	0.2
Staff and Student Services	2.9	2.9	0.0
Unified Administrative Service (UAS)	29.3	29.3	0.0
Administered Funds	103.5	103.5	0.0
<b>TOTAL EXPENDITURE</b>	<b>307.4</b>	<b>306.0</b>	<b>1.4</b>
<b>Surplus/(Deficit)</b>	<b>(1.4)</b>	<b>0.0</b>	<b>1.4</b>

## APPENDIX 2: STAFF FTE BY ORGANIZATION AND STAFF GROUPING: 2002–08

	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>Academic 2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>
<b>Schools and Academic institutions</b>	1,511	1,535	1,524	1,479	1,484	1,553	1,594
<b>UAS</b>							
<b>Academic Services</b>	2	4	4	3	2	3	2
<b>Museums and Galleries</b>						2	2
<b>Staff and Student Services</b>	1	1	1	1	1	1	1
<b>Development Office</b>							
<b>Total</b>	<b>1,514</b>	<b>1,540</b>	<b>1,529</b>	<b>1,483</b>	<b>1,487</b>	<b>1,559</b>	<b>1,599</b>
	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>Academic-related (administrative)</b>			<b>2008</b>
<b>Schools and Academic institutions</b>	147	143	166	175	172	206	208
<b>UAS</b>	203	230	250	274	296	296	295
<b>Academic Services</b>	40	40	38	25	16	10	9
<b>Museums and Galleries</b>	8	9	13	13	13	17	17
<b>Staff and Student Services</b>	22	20	20	19	21	23	27
<b>Development Office</b>	24	26	24	32	35	30	30
<b>Total</b>	<b>444</b>	<b>468</b>	<b>511</b>	<b>538</b>	<b>553</b>	<b>582</b>	<b>586</b>
	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>Academic-related (computing)</b>			<b>2008</b>
<b>Schools and Academic institutions</b>	148	188	210	213	219	222	224
<b>UAS</b>	19	26	35	43	47	48	49
<b>Academic Services</b>	79	92	87	93	98	98	97
<b>Museums and Galleries</b>	1	2	1	2	2	2	2
<b>Staff and Student Services</b>	3	3	3	3	3	4	4
<b>Development Office</b>						2	2
<b>Total</b>	<b>250</b>	<b>311</b>	<b>336</b>	<b>354</b>	<b>369</b>	<b>376</b>	<b>378</b>

(cont.)

## APPENDIX 2 (cont.):

	Academic-related (other groups)							
	2002	2003	2004	2005	2006	2007	2008	
Schools and Academic institutions	92	108	112	114	111	95	98	
UAS	7	14	13	13	13	14	13	
Academic Services	63	66	65	67	67	71	70	
Museums and Galleries	14	16	15	17	18	19	19	
Staff and Student Services Development Office	7	11	13	16	14	20	20	
<b>Total</b>	<b>183</b>	<b>215</b>	<b>218</b>	<b>227</b>	<b>223</b>	<b>219</b>	<b>220</b>	
				<b>Research</b>				
	2002	2003	2004	2005	2006	2007	2008	
Schools and Academic institutions	2,048	2,221	2,223	2,170	2,256	2,484	2,532	
UAS		2			1	1	1	
Academic Services	19	20	24	23	31	30	32	
Museums and Galleries	11	12	12	13	14	16	9	
Staff and Student Services Development Office								
<b>Total</b>	<b>2,078</b>	<b>2,255</b>	<b>2,259</b>	<b>2,206</b>	<b>2,302</b>	<b>2,531</b>	<b>2,574</b>	
				<b>Assistant</b>				
	2002	2003	2004	2005	2006	2007	2008	
Schools and Academic institutions	1,869	1,894	1,915	1,833	1,773	1,806	1,831	
UAS	331	380	392	401	398	430	445	
Academic Services	270	268	279	274	271	262	263	
Museums and Galleries	70	69	62	76	78	83	82	
Staff and Student Services	44	45	46	49	51	125	121	
Development Office	12	12	15	18	15	18	19	
<b>Total</b>	<b>2,596</b>	<b>2,668</b>	<b>2,709</b>	<b>2,651</b>	<b>2,585</b>	<b>2,724</b>	<b>2,761</b>	
				<b>All staff</b>				
	2002	2003	2004	2005	2006	2007	2008	
Schools and Academic institutions	5,815	6,089	6,150	5,984	6,015	6,366	6,487	
UAS	560	652	690	731	755	789	803	
Academic Services	473	490	497	485	485	474	473	
Museums and Galleries	104	108	103	121	125	139	131	
Staff and Student Services	77	80	83	88	89	173	173	
Development Office	36	38	39	50	50	50	51	
<b>Total</b>	<b>7,065</b>	<b>7,457</b>	<b>7,562</b>	<b>7,459</b>	<b>7,519</b>	<b>7,991</b>	<b>8,118</b>	

**Notes****All data as at 31 January**

The 2007/2008 information is sourced from CHRIS (Cambridge Human Resources Information System) following the retirement of the SECQUS legacy Personnel database in June 2007. Some minor differences to staff data reporting resulted from data cleansing exercises performed prior to migration of data into the new system. Some other minor changes resulted from the mapping between the old SECQUS employment data dimensions and those in CHRIS.

The report is a snapshot in time of all current employees at 31 January in the reporting year and excludes casual, temporary or variable pay staff (staff who either have zero contract hours or who are not paid from a point on scale or spot salary). Due to the nature of their employment it is not possible to give an accurate FTE (Full time equivalent) total for these casual staff. However, their headcount totalled approximately 680 in January 2008.

An additional 75 FTE assistant University Centre Staff have been recorded on CHRIS from 2007 reflecting the incorporation of the University Centre. This can be seen in the growth of Assistants in Staff and Student Services between 2006 and 2007.

## APPENDIX 3: UNIVERSITY STUDENT STATISTICS (FULL-TIME FEE-PAYING STUDENTS)

<b>Undergraduates</b>	2001–02	2002–03	2003–04	2004–05	2005–06	2006–07	2007–08
Home and EC							
Arts, Science, and Clinical	10,727	10,827	10,752	10,729	10,471	10,420	10,321
Half-fee students	177	126	137	165	165	159	137
	10,904	10,953	10,889	10,894	10,743	10,579	10,458
Island Students							
Arts	17	23	22	21	25	28	24
Science	15	13	15	13	17	20	26
Clinical	0	1	2	4	3	1	1
	32	37	39	38	45	49	51
Overseas							
Arts							
Arts	424	480	477	489	504	529	555
Half-fee students	2	1	1	2	–	2	1
Science	425	451	466	455	479	536	588
Clinical	43	40	40	44	45	47	38
	894	972	984	990	1,028	1,114	1,182
<b>Total Undergraduates</b>	<b>11,830</b>	<b>11,962</b>	<b>11,830</b>	<b>11,922</b>	<b>11,816</b>	<b>11,742</b>	<b>11,691</b>
<b>Full-time Postgraduates</b>							
Home and EC							
Postgraduate (exc. P.G.C.E. and M.B.A.)	2,611	2,659	2,638	2,790	3,223	3,302	3,038
P.G.C.E.	579	624	708	672	621	503	477
M.B.A.	23	33	33	35	25	26	42
	3,213	3,316	3,379	3,497	3,869	3,831	3,557
Islands							
Postgraduate (exc. P.G.C.E. and M.B.A.)	–	1	–	–	–	–	–
P.G.C.E.	–	–	2	2	3	4	3
	–	1	2	2	3	4	3
Overseas							
Postgraduate (exc. P.G.C.E. and M.B.A.)	1,788	1,877	1,938	1,927	2,267	2,292	2,166
P.G.C.E.	–	–	–	4	4	4	7
M.B.A.	64	91	76	69	80	78	102
	1,852	1,968	2,014	2,000	2,351	2,374	2,275
<b>Total Postgraduates</b>	<b>5,065</b>	<b>5,285</b>	<b>5,395</b>	<b>5,499</b>	<b>6,223</b>	<b>6,209</b>	<b>5,835</b>
<b>Total Student Numbers</b>	<b>16,895</b>	<b>17,247</b>	<b>17,307</b>	<b>17,421</b>	<b>18,039</b>	<b>17,951</b>	<b>17,526</b>
Total Home Student Numbers	14,117	14,269	14,268	14,391	14,612	14,410	14,015
Total Islands Student Numbers	32	38	41	40	48	53	54
Total Overseas Student Numbers	2,746	2,940	2,998	2,990	3,379	3,488	3,457
	16,895	17,247	17,307	17,421	18,039	17,951	17,526

**Notes****Data as at 1 December**

1. This simple overview tabulation cannot be directly compared with any of the detailed tables in the annual Student Statistics special edition of the *Reporter*, as there are differences of treatment for certain categories of student, particularly ERASMUS and other exchange students at undergraduate level. At postgraduate level the numbers coincide in all years except 2006–07, where the number quoted here takes account of the latest available analysis.

2. Students with a part-time mode of study are excluded from this appendix. These include part-time postgraduates (e.g. M.Ed. and M.St. students) and non-matriculated students (e.g. Faculty of Education INSET, ICE, and CPI students).

(cont.)

3. Postgraduate students who have completed the minimum requirements of their course, i.e. who are writing up or under examination, are also excluded from this appendix. In 2005–06 and 2006–07 postgraduate students were recorded as full-time for the first 10 terms and as writing up from the 11th term onwards. In 2004–05 and 2007–08 students are classified as full-time for 9 terms and as writing up from the 10th term onwards. The effect of these changes in definitions is that the number of full-time postgraduate students is higher for 2005–06 and 2006–07 in comparison with 2004–05 and 2007–08.

4. Incoming and outgoing reciprocal (ERASMUS) students and incoming MIT students are excluded as they do not pay fees.

5. The data for 2005–06 are restated owing to the incorrect exclusion of outgoing MIT students and the incorrect inclusion of Overseas students in receipt of an Overseas Research Studentship in the 'Home' category.

6. The data for 2007–08 are provisional. Postgraduate figures also include M.Phil. Economics students who pay higher fees from 2007–08 onwards.

TABLE 1 – CONSOLIDATED OPERATING BUDGET FOR 2008–09

	Chest £m	Research Grants and Contracts £m	Trust Funds £m	Other Non Chest £m	Total Budget £m
<b>Income</b>					
Grants from the Funding Council	177.0	0.0	0.0	14.2	191.2
Teacher Development Agency	3.2	0.0	0.0	0.0	3.2
Academic Fees	78.1	0.0	0.0	11.0	89.1
Research Grants and Contracts	35.2	217.1	0.0	0.0	252.3
Endowment Income and Interest Receivable	11.7	0.0	23.7	0.0	35.4
Other Operating Income	8.6	0.0	0.0	45.5	54.1
Cambridge Assessment	17.1	0.0	0.0	0.0	17.1
Other Services Rendered	1.1	0.0	0.0	35.0	36.1
<b>TOTAL INCOME</b>	<b>332.0</b>	<b>217.1</b>	<b>23.7</b>	<b>105.7</b>	<b>678.5</b>
<b>Allocation/Expenditure</b>					
	Allocation £m	£m	Expenditure £m	£m	£m
School of Arts and Humanities	19.0	3.9	3.4	2.7	29.0
School of the Humanities and Social Sciences	31.4	11.0	3.5	7.1	53.0
School of the Physical Sciences	34.8	52.5	4.5	12.8	104.6
School of Technology	24.2	31.5	3.1	15.4	74.2
School of the Biological Sciences	28.4	56.5	2.6	10.7	98.2
School of Clinical Medicine	14.3	57.9	1.8	21.7	95.7
<b>Total Schools</b>	<b>152.1</b>	<b>213.3</b>	<b>18.9</b>	<b>70.4</b>	<b>454.7</b>
Academic Institutions and Services	27.9	1.1	2.0	24.5	55.5
Staff and Student Services	1.9	0.0	0.0	2.9	4.8
UAS	30.1	0.4	0.3	10.8	41.6
Strategic Provisions	13.0	0.0	0.0	0.0	13.0
Other Administered Funds	102.1	0.0	0.0	0.0	102.1
<b>TOTAL ALLOCATION/EXPENDITURE</b>	<b>327.1</b>	<b>214.8</b>	<b>21.2</b>	<b>108.6</b>	<b>671.7</b>
<b>Surplus/(Deficit)</b>	<b>4.9</b>	<b>2.3</b>	<b>2.5</b>	<b>(2.9)</b>	<b>6.8</b>

TABLE 2 – OPERATING BUDGET SUMMARY

	Budget 2008–09			Projection 2009–10			Projection 2010–11			Projection 2011–12		
	Total £m	Chest £m	Non Chest £m	Total £m	Chest £m	Non Chest £m	Total £m	Chest £m	Non Chest £m	Total £m	Chest £m	Non Chest £m
<b>Income</b>												
Grants from the Funding Council	191.2	177.0	14.2	195.5	184.9	10.6	199.8	193.6	6.2	205.8	200.4	5.4
Teacher Development Agency	3.2	3.2	0.0	3.2	3.2	0.0	2.8	2.8	0.0	2.4	2.4	0.0
Academic Fees	89.1	78.1	11.0	99.4	84.5	14.9	104.6	86.8	17.8	107.6	88.7	18.9
Research Grants and Contracts	252.3	35.2	217.1	272.1	43.5	228.6	290.0	49.9	240.1	305.7	54.0	251.7
Endowment Income and Interest Receivable	35.4	11.7	23.7	37.7	12.1	25.6	39.3	12.4	26.9	41.0	12.7	28.3
Other Operating Income	54.1	8.6	45.5	57.6	7.2	50.4	53.9	7.4	46.5	55.2	7.5	47.7
Cambridge Assessment	17.1	17.1	0.0	5.6	5.6	0.0	6.8	6.8	0.0	19.8	19.8	0.0
Other Services Rendered	36.1	1.1	35.0	37.6	1.0	36.6	43.1	1.0	42.1	45.5	1.0	44.5
<b>TOTAL INCOME</b>	<b>678.5</b>	<b>332.0</b>	<b>346.5</b>	<b>708.7</b>	<b>342.0</b>	<b>366.7</b>	<b>740.3</b>	<b>360.7</b>	<b>379.6</b>	<b>783.0</b>	<b>386.5</b>	<b>396.5</b>
<b>Expenditure</b>												
Schools	454.7	152.1	302.6	477.8	160.0	317.8	496.2	168.3	327.9	510.5	176.3	334.2
Academic Institutions and Services	55.5	27.9	27.6	63.8	29.4	34.4	59.2	30.7	28.5	62.2	32.7	29.5
Staff and Student Services	4.8	1.9	2.9	4.6	1.9	2.7	7.4	2.1	5.3	7.6	2.2	5.4
UAS	41.6	30.1	11.5	41.3	31.4	9.9	42.7	32.6	10.1	44.0	34.0	10.0
Strategic Provisions	13.0	13.0	0.0	7.0	7.0	0.0	8.0	8.0	0.0	16.0	16.0	0.0
Other Administered Funds	102.1	102.1	0.0	108.3	108.3	0.0	113.8	113.8	0.0	17.3	117.3	0.0
<b>TOTAL EXPENDITURE</b>	<b>671.7</b>	<b>327.1</b>	<b>344.6</b>	<b>702.8</b>	<b>338.0</b>	<b>364.8</b>	<b>727.3</b>	<b>355.5</b>	<b>371.8</b>	<b>757.6</b>	<b>378.5</b>	<b>379.1</b>
Surplus/(Deficit)	6.8	4.9	1.9	5.9	4.0	1.9	13.0	5.2	7.8	25.4	8.0	17.4
Balance on QEF (Quinquennial Equalization Fund) B/F		(37.7)			(32.8)			(28.8)			(23.6)	
Chest Surplus/(Deficit) in year		4.9			4.0			5.2			8.0	
Balance on QEF C/F		(32.8)			(28.8)			(23.6)			(15.6)	
Allocation to Council/General Board for the UEF		327.1			338.0			355.5			378.5	

TABLE 3 – PROJECTED INCOME AND EXPENDITURE ACCOUNT 2008–09

	Year ending 31 July 2009			Income and expenditure account		
	Budget Table 1 £m	Adjustments £m	Note	Income and Expenditure account £m	2007–08 Latest forecast £m	2006–07 actual £m
<b>Income</b>						
Grants from the Funding Council	191.2	1.5	2, 3	192.7	190.1	179.8
Teacher Development Agency	3.2	–		3.2	3.3	3.4
Academic fees and support grants	89.1	–		89.1	78.1	67.8
Research grants and contracts	252.3	(1.0)	3	251.3	241.0	211.6
Other Income:						
Other services rendered	36.1					
Cambridge Assessment	17.1	6.5	2, 3, 4, 5	113.8	104.4	100.6
Other operating income	54.1					
Endowment and investment income	35.4	1.9	2, 4	37.3	36.7	38.3
	<u>678.5</u>	<u>8.9</u>		<u>687.4</u>	<u>653.6</u>	<u>601.5</u>
<b>Expenditure</b> (see note 1)						
<i>Staff costs</i>						
Research	110.0			110.0	105.8	97.4
Other	261.9	2.0	5	263.9	244.1	227.2
<i>Other operating expenses</i>						
Research	86.8	(3.0)	3	83.8	88.2	75.4
College Fee Transfer	39.1			39.1	37.5	34.7
Other	147.3	(8.1)	2, 3, 5, 6	139.2	129.7	124.5
<i>Depreciation</i>						
Research	7.4	1.9	3	9.3	8.4	9.4
Other	19.2	17.4	3	36.6	35.4	30.3
	<u>671.7</u>	<u>10.2</u>		<u>681.9</u>	<u>649.1</u>	<u>598.9</u>
<b>Surplus/(deficit) before transfers</b>	6.8	(1.3)		5.5	4.5	2.6
Transfer to specific endowments		(3.0)		(3.0)	(3.0)	(3.0)
<b>Surplus/(deficit) retained in reserves</b>		<u>(4.3)</u>		<u>2.5</u>	<u>1.5</u>	<u>(0.4)</u>

**Notes****1. Expenditure**

The expenditure estimates in Table 1 have been analysed into income and expenditure account categories on the basis of the relevant working papers.

**2. Eliminations**

Income as stated in Table 1 includes items totalling £7.3m which are excluded from the income and expenditure account, either because the funds are received by the University as agent or because the income is internal to the University (such as internal loan transactions). The same amount is adjusted in expenditure. In addition the estimated VAT repayment of £2.5m, included in income on Table 1, is set against expenditure in the income and expenditure account.

**3. Capital adjustments**

Table 1 is prepared on the basis of funds to be received, allocations, and cash spent. Adjustments are required to exclude from expenditure amounts which will be spent on equipment and other items which will be capitalized as tangible fixed assets in the financial statements (total £26.6m), and to include estimates of depreciation totalling £45.9m. Corresponding income adjustments are required in respect of the external funding for these items totalling £19.3m and releases of deferred capital grants to match depreciation (total £28.0m).

**4. Reclassifications**

Income items totalling £3.7m are classified differently as between Table 1 and the financial statements.

**5. Impact of consolidation**

Table 1 represents the University's projected activities. Income includes estimated surpluses of subsidiary companies to be paid over to the University as gift aid donations. An adjustment is required to estimate the impact of consolidating the complete income and expenditure of subsidiaries. An estimate of £10.0m has been added to income and to expenditure based on the 2006–07 actual consolidation.

**6. Strategic Planning Reserve**

For the purposes of the income and expenditure account forecast, it has been assumed that £3.0m of the proposed allocation to the Strategic Planning Reserve Fund is not included in revenue costs in 2008–09.