APPENDIX 1(A): UNIVERSITY STUDENT STATISTICS (FEE-PAYING STUDENTS)

	2000–01	2001–02
Undergraduates		
Home and EC		
Arts, Science, and Clinical		
Arts, Science, and Clinical	10,554	10,727
Half fee students	179	177
	10,733	10,904
Island Students		
Arts	20	17
Science	16	15
Clinical	1	–
	37	32
Overseas		
Arts		
Arts	398	424
Half fee students	2	2
Science	406	425
Clinical	51	43
	857	894
Total Undergraduates	11,627	11,830
Postgraduates		
Home and EC		
Postgraduate (exc. P.G.C.E. and M.B.A.)	2,530	2,611
P.G.C.E.	180	579
M.B.A.	25	23
	2,735	3,213
Overseas		
Postgraduate (exc. M.B.A.)	1,524	1,788
M.B.A.	61	64
	1,585	1,852
Total Postgraduates	4,320	5,065
Total Student Numbers	15,947	16,895
Total Home Student Numbers	13,468	14,117
Total Islands Student Numbers	37	32
Total Overseas Student Numbers	2,442	2,746
	15,947	16,895

Note: Figures for 2000–01 exclude Homerton-funded students.

APPENDIX 1(B): UNIVERSITY STAFF STATISTICS (FTEs)

(a) Academic and Academic-related Staff	2000–01	2001–02
(i) Established Staff		
Academic Staff		
Departmental:		
Professors	346	394
Readers	207	229
University Senior Lecturers	166	208
University Lecturers	470	421
University Assistant Lecturers	32	33
Other Academic	72	118
Total Academic Staff	1,293	1,403
Academic-related Staff		
Departmental:		
Administrative	56	43
Curators	6	6
Library	74	73
Technical	50	52
Computer	126	121
Other Academic-related	9	14
	321	309
Research Staff	8	7
Central and service:		
University Administrative Offices	152	175
Fitzwilliam Museum	13	17
Others	31	20
	196	212
Total Academic-related Staff	525	528
(ii) Unestablished Staff		
Departmental and central:		
Academic	102	134
Academic-related	197	306
Research	1,980	2,125
Total Unestablished Staff	2,279	2,565
Total Academic and Academic-related Staff	4,097	4,496
(b) Support Staff		
Technicians	1,108	1,123
Secretarial/Clerical/Library	1,089	1,142
Manual/Ancillary/Catering/Cleaners	355	332
Temporary	81	214
Total Support Staff	2,633	2,811
(c) Casual Staff		
Casuals	44	16
Total casual staff	44	16
TOTAL STAFF	6,774	7,323

Notes:

1. This is a ‘snap-shot’ of all known University employees in post at 4 February 2002.
2. All figures are for Full Time Equivalent (FTE) staff numbers.

APPENDIX 2: CHEST ESTIMATED OUT-TURN 2001–02

Original Estimate 2001–02		£000s
Total Income		199,284
Total Expenditure		(204,363)
(Deficit)		(5,079)
Revised Estimate 2001–02		
Total Income		199,398
Total Expenditure		(205,070)
(Deficit)		(5,672)
Reconciliation of changes on the Chest out-turn	£000s	£000s
Surplus/(Deficit) as per Original Estimates (as per Allocations Report)		(5,079)
Surplus/(Deficit) as per Revised Estimates		(5,672)
Increase in deficit 2001–02		(593)
Increase/(Decrease) in income:		
Grants from the Funding Council	134	
Teacher Training Agency	264	
Academic Fees and Support Fees	1,287	
Endowment Income and Interest Receivable	(1,467)	
Other Operating Income	1,166	
Research Grants and Contracts overheads	(1,227)	
Other	(43)	
Increase/(Decrease) in income		**114**
(Increase)/Decrease in expenditure:		
Pay and Price increases	(582)	
Volume/Demand Driven increases	(98)	
Utility/Premises cost increases	(1,858)	
Expenditure changes that contra with income	(396)	
Return funds to the Chest	3,050	
Miscellaneous/Other	(823)	
(Increase)/Decrease in expenditure		**(707)**
Increase in deficit 2001–02		**(593)**

TABLE 1: INCOME AND EXPENDITURE ACCOUNT

		Original Estimate 2001–02			Revised Estimate 2001–02			Estimate 2002–03			Estimate 2003–04			Estimate 2004–05			Estimate 2005–06		
	Income	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000
1	Grants from the Funding Council	129,045	2,330	131,375	129,214	5,718	134,932	134,566	19,193	153,759	138,731	6,349	145,080	141,869	4,600	146,469	144,811	4,600	149,411
2	Teacher Training Agency	3,868	0	3,868	4,112	0	4,112	3,208	0	3,208	3,287	0	3,287	3,369	0	3,369	3,453	0	3,453
3	Academic fees and support grants	39,251	10,197	49,448	40,492	12,886	53,378	41,844	14,037	55,881	43,273	14,478	57,751	44,604	14,918	59,522	46,143	15,372	61,515
4	Endowment income and interest receivable	7,797	34,874	42,671	6,305	40,904	47,209	7,435	41,719	49,154	7,598	42,762	50,360	7,758	43,832	51,590	7,924	44,926	52,850
5	Residences and catering operations	0	3,282	3,282	0	3,180	3,180	0	3,260	3,260	0	3,342	3,342	0	3,426	3,426	0	3,512	3,512
6	Other operating income	8,825	14,555	23,380	10,004	16,543	26,547	9,337	17,100	26,437	9,828	17,100	26,928	10,067	17,100	27,167	10,431	17,100	27,531
7	Research grants and contracts	10,498	121,686	132,184	9,271	135,454	144,725	10,245	154,646	164,891	11,184	168,816	180,000	12,426	187,574	200,000	13,669	206,331	220,000
8	Other services rendered	0	10,965	10,965	0	11,090	11,090	0	11,640	11,640	0	12,220	12,220	0	12,830	12,830	0	13,470	13,470
9	TOTAL INCOME	199,284	197,889	397,173	199,398	225,775	425,173	206,635	261,595	468,230	213,901	265,067	478,968	220,093	284,280	504,373	226,431	305,311	531,742
10	**Expenditure**																		
11	Academic departments	90,599	41,300	131,899	91,103	42,709	133,812	98,716	45,602	144,318	106,186	49,276	155,462	111,902	55,264	167,166	118,043	56,946	174,989
12	Academic services	16,145	5,784	21,929	16,876	6,288	23,164	17,691	6,714	24,405	18,495	7,255	25,750	19,218	8,136	27,354	19,989	8,384	28,373
13	General educational expenditure	4,531	6,368	10,899	4,645	6,104	10,749	4,712	6,518	11,230	4,971	7,043	12,014	4,983	7,899	12,882	4,997	8,140	13,137
14	Maintenance of premises	26,677	2,227	28,904	29,386	4,490	33,876	31,623	4,794	36,417	32,841	5,180	38,021	33,570	5,809	39,379	34,340	5,986	40,326
15	Administration and central services	14,060	5,590	19,650	14,429	2,973	17,402	16,273	3,174	19,447	17,671	3,430	21,101	18,094	3,847	21,941	18,545	3,964	22,509
16	Student and staff facilities and amenities	1,744	929	2,673	1,807	874	2,681	1,975	933	2,908	2,036	1,008	3,044	2,126	1,131	3,257	2,221	1,165	3,386
17	Residences and catering operations	628	3,282	3,910	628	3,180	3,808	678	3,260	3,938	678	3,342	4,020	678	3,426	4,104	678	3,512	4,190
18	Severance costs and unfunded pensions	146	455	601	146	332	478	154	355	509	161	384	545	171	430	601	182	443	625
19	Miscellaneous expenditure	3,118	1,694	4,812	2,205	2,195	4,400	3,735	2,344	6,079	3,788	2,530	6,318	3,880	2,839	6,719	3,968	2,928	6,896
20	Provision for pay and price increases	5,200	0	5,200	4,400	0	4,400	4,630	0	4,630	5,270	0	5,270	5,800	0	5,800	6,100	0	6,100
21	College fee transfer	29,480	0	29,480	29,020	0	29,020	29,850	0	29,850	30,137	0	30,137	30,427	0	30,427	30,720	0	30,720
22	Equipment and furniture	6,675	4,500	11,175	6,675	3,600	10,275	2,200	17,560	19,760	2,200	5,081	7,281	2,200	5,100	7,300	2,200	5,100	7,300
23	Allocations for capital expenditure	2,050	750	2,800	2,050	16,606	18,656	2,665	14,906	17,571	2,665	11,243	13,908	2,665	2,900	5,565	2,665	3,000	5,665
24	Strategic planning reserve	0	0	0	0	0	0	1,000	0	1,000	1,000	0	1,000	1,000	0	1,000	1,000	0	1,000
25	Research grants and contracts	0	114,688	114,688	0	127,554	127,554	0	146,125	146,125	0	159,515	159,515	0	177,239	177,239	0	194,963	194,963
26	Other services rendered	0	8,772	8,772	0	8,870	8,870	0	9,310	9,310	0	9,780	9,780	0	10,260	10,260	0	10,780	10,780
27	Provision for salary restructuring	0	0	0	(1,159)	0	(1,159)	0	0	0	0	0	0	0	0	0	0	0	0
28	Provision for new recurrent needs	4,750	0	4,750	3,699	0	3,699	5,125	0	5,125	2,850	0	2,850	2,850	0	2,850	2,850	0	2,850
29	Provision for non-recurrent expenditure	2,260	0	2,260	2,460	0	2,460	2,105	0	2,105	2,105	0	2,105	2,105	0	2,105	2,105	0	2,105
30	TOTAL	208,063	196,339	404,402	208,370	225,775	434,145	223,132	261,595	484,727	233,054	265,067	498,121	241,669	284,280	525,949	250,603	305,311	555,914
31	Less estimated savings on stipends and wages	(3,700)	0	(3,700)	(3,300)	0	(3,300)	(4,900)	0	(4,900)	(3,500)	0	(3,500)	(3,600)	0	(3,600)	(3,700)	0	(3,700)
32	TOTAL EXPENDITURE	204,363	196,339	400,702	205,070	225,775	430,845	218,232	261,595	479,827	229,554	265,067	494,621	238,069	284,280	522,349	246,903	305,311	552,214
33	Surplus/(Deficit)	(5,079)	1,550	(3,529)	(5,672)	0	(5,672)	(11,597)	0	(11,597)	(15,653)	0	(15,653)	(17,976)	0	(17,976)	(20,472)	0	(20,472)
34	Transfers from/(to) reserves	0	(1,550)	(1,550)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
35	Surplus/(Deficit) after transfers	(5,079)	0	(5,079)	(5,672)	0	(5,672)	(11,597)	0	(11,597)	(15,653)	0	(15,653)	(17,976)	0	(17,976)	(20,472)	0	(20,472)
	IMPROVEMENTS REQUIRED (see paragraph 47)																		
36	Increase income/Reduce expenditure	0	0	0	0	0	0	0	0	0	0	0	0	17,976	0	17,976	24,460	0	24,460
37	Target Surplus*/(Deficit)	(5,079)	0	(5,079)	(5,672)	0	(5,672)	(11,597)	0	(11,597)	(15,653)	0	(15,653)	0	0	0	3,988	0	3,988
38	Balance on QEF	(2,236)	0	(2,236)	(6,815)	0	(6,815)	(18,412)	0	(18,412)	(34,065)	0	(34,065)	(34,065)	0	(34,065)	(30,077)	0	(30,077)

* Target surplus 2004–05: Breakeven; 2005–06: 0.75% of total income (2006–07: 1.5% of total income)

NOTES TO THE INCOME AND EXPENDITURE ACCOUNT (TABLE 1)

	Original Estimate 2001/02			Revised Estimate 2001/02			Estimate 2002/03			Estimate 2003/04			Estimate 2004/05			Estimate 2005/06		
	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000	Chest £000	Non Chest £000	Total £000
1 GRANTS FROM THE FUNDING COUNCIL																		
(a) Recurrent Grant: Teaching	52,681	0	52,681	52,532	0	52,532	54,317	0	54,317	56,009	0	56,009	57,696	0	57,696	59,138	0	59,138
Research	64,820	0	64,820	64,820	0	64,820	67,815	0	67,815	69,511	0	69,511	71,249	0	71,249	73,030	0	73,030
(b) Non-consolidated core funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Special factors/Non formula funding	11,544	0	11,544	11,862	0	11,862	12,434	0	12,434	13,211	0	13,211	12,924	0	12,924	12,643	0	12,643
(d) Special initiatives	0	2,330	2,330	0	5,718	5,718	0	19,193	19,193	0	6,349	6,349	0	4,600	4,600	0	4,600	4,600
TOTAL	129,045	2,330	131,375	129,214	5,718	134,932	134,566	19,193	153,759	138,731	6,349	145,080	141,869	4,600	146,469	144,811	4,600	149,411
2 ACADEMIC FEES AND SUPPORT GRANTS																		
(a) Full-time Home and EC Students	19,272	495	19,767	18,926	549	19,475	19,492	700	20,192	20,048	715	20,763	20,470	730	21,200	21,049	746	21,795
(b) Full-time Overseas Students	19,145	1,173	20,318	20,766	1,218	21,984	21,532	1,800	23,332	22,384	1,886	24,270	23,272	1,975	25,247	24,211	2,068	26,279
(c) Other Fees and Support Grants	834	8,529	9,363	800	11,119	11,919	820	11,537	12,357	841	11,877	12,718	862	12,213	13,075	883	12,558	13,441
TOTAL	39,251	10,197	49,448	40,492	12,886	53,378	41,844	14,037	55,881	43,273	14,478	57,751	44,604	14,918	59,522	46,143	15,372	61,515
3 ENDOWMENT INCOME AND INTEREST RECEIVABLE																		
(a) Trust Funds	20	16,121	16,141	20	15,313	15,333	20	15,706	15,726	21	16,099	16,120	21	16,502	16,523	22	16,914	16,936
(b) Special Funds	0	14,829	14,829	0	22,046	22,046	0	0	0	0	0	0	0	23,266	23,266	0	23,847	23,847
(c) General Endowments	6,006	0	6,006	6,105	0	6,105	6,246	22,145	28,391	6,399	22,698	29,097	6,556	0	6,556	6,717	0	6,717
(d) Other Funds and Reserves	1,771	3,924	5,695	180	3,545	3,725	1,169	3,868	5,037	1,178	3,965	5,143	1,181	4,064	5,245	1,185	4,165	5,350
TOTAL	7,797	34,874	42,671	6,305	40,904	47,209	7,435	41,719	49,154	7,598	42,762	50,360	7,758	43,832	51,590	7,924	44,926	52,850
11 ACADEMIC DEPARTMENTS EXPENDITURE																		
(a) School of the Arts and Humanities	11,752	1,981	13,733	11,860	2,051	13,911	12,567	2,190	14,757	13,558	2,366	15,924	14,370	2,654	17,024	15,241	2,735	17,976
(b) School of the Humanities and Social Sciences	19,064	3,857	22,921	18,242	3,989	22,231	19,988	4,259	24,247	21,207	4,602	25,809	22,118	5,161	27,279	23,101	5,318	28,419
(c) School of the Physical Sciences	20,436	7,744	28,180	20,475	8,008	28,483	21,985	8,550	30,535	23,711	9,239	32,950	25,122	10,361	35,483	26,635	10,677	37,312
(d) School of Technology	12,836	3,258	16,094	13,066	3,369	16,435	14,135	3,597	17,732	15,216	3,887	19,103	16,093	4,360	20,453	17,034	4,492	21,526
(e) School of the Biological Sciences	18,097	6,904	25,001	18,468	7,139	25,607	19,241	7,623	26,864	20,740	8,237	28,977	21,962	9,238	31,200	23,273	9,519	32,792
(f) School of Clinical Medicine	5,588	12,073	17,661	5,305	12,484	17,789	7,060	13,330	20,390	7,826	14,404	22,230	8,182	16,154	24,336	8,566	16,646	25,212
(g) Other Academic Institutions	2,826	5,483	8,309	3,687	5,669	9,356	3,740	6,053	9,793	3,928	6,541	10,469	4,055	7,336	11,391	4,193	7,559	11,752
TOTAL	90,599	41,300	131,899	91,103	42,709	133,812	98,716	45,602	144,318	106,186	49,276	155,462	111,902	55,264	167,166	118,043	56,946	174,989
23 ALLOCATIONS TO CAPITAL EXPENDITURE																		
(a) Minor Works Fund	2,000	0	2,000	2,000	0	2,000	2,615	0	2,615	2,615	0	2,615	2,615	0	2,615	2,615	0	2,615
(b) New Buildings Enabling Fund	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Planning Consultancy and Feasibility Studies	50	0	50	50	0	50	50	0	50	50	0	50	50	0	50	50	0	50
(d) Other	0	750	750	0	16,606	16,606	0	14,906	14,906	0	11,243	11,243	0	2,900	2,900	0	3,000	3,000
TOTAL	2,050	750	2,800	2,050	16,606	18,656	2,665	14,906	17,571	2,665	11,243	13,908	2,665	2,900	5,565	2,665	3,000	5,665
25 RESEARCH GRANTS AND CONTRACTS																		
(a) Salaries and Wages	0	70,806	70,806	0	75,279	75,279	0	81,996	81,996	0	89,510	89,510	0	99,455	99,455	0	109,401	109,401
(b) Equipment	0	13,232	13,232	0	13,972	13,972	0	16,657	16,657	0	18,183	18,183	0	20,204	20,204	0	22,224	22,224
(c) Other	0	30,650	30,650	0	38,303	38,303	0	47,472	47,472	0	51,822	51,822	0	57,580	57,580	0	63,338	63,338
TOTAL	0	114,688	114,688	0	127,554	127,554	0	146,125	146,125	0	159,515	159,515	0	177,239	177,239	0	194,963	194,963