

III. That the allocations for 2000–01 be adjusted to take account of any differences between actual and estimated expenditure on pensionable stipends, wages, pensions, national insurance contributions, and other personal emoluments.

IV. That any additional surplus achieved in 1999–2000 and any supplementary HEFCE grants which may be received for special purposes during 2000–01 be allocated by the Council, wholly or in part, either to the General Board for the University Education Fund or to any other purpose consistent with any specification made by the HEFCE, and that the amounts contained in Recommendation II above be adjusted accordingly.

12 June 2000

ALEC N. BROERS, <i>Vice-Chancellor</i>	DONALD LAMING	M. PEPPER
A. J. BADGER	MELISSA LANE	JEREMY SANDERS
A. L. R. FINDLAY	JOHN A. LEAKE	M. SARDY
L. GROO	A. M. LONSDALE	M. SCHOFIELD
DAVID HARRISON	M. D. MACLEOD	DAVID M. THOMPSON
GORDON JOHNSON	ONORA O'NEILL	R. E. THORNTON
T. JONES		

APPENDIX 1(A): UNIVERSITY STUDENT STATISTICS (FEE-PAYING STUDENTS)

	1998–99	1999–2000
Undergraduates		
Home and EC		
<i>Arts, Science, and Clinical</i>		
Arts, Science and Clinical (excl Homerton B.A./B.Ed.)	9,789	9,947
Homerton B.A. and B.Ed.	480	482
Half fee students	201	189
	<hr/>	<hr/>
	10,470	10,618
Island Students		
<i>Arts</i>	27	21
<i>Science</i>	14	18
<i>Clinical</i>	1	1
	<hr/>	<hr/>
	42	40
Overseas		
<i>Arts</i>		
Arts (excl Homerton B.A./B.Ed.)	418	386
Homerton B.A. and B.Ed.	5	7
Half fee students	2	2
<i>Science</i>	396	406
<i>Clinical</i>	36	35
	<hr/>	<hr/>
	857	836
Total Undergraduates	<hr/>	<hr/>
	11,369	11,494
Postgraduates		
Home and EC		
Postgraduate (excl P.G.C.E. and M.B.A.)	2,374	2,468
P.G.C.E.	169	183
M.B.A.	21	23
	<hr/>	<hr/>
	2,564	2,674
Overseas		
Postgraduate (excl M.B.A.)	1,513	1,474
M.B.A.	50	65
	<hr/>	<hr/>
	1,563	1,539
Total Postgraduates	<hr/>	<hr/>
	4,127	4,213
Total Student Numbers	<hr/>	<hr/>
	15,496	15,707
Total Home Student Numbers	13,034	13,292
Total Islands Student Numbers	42	40
Total Overseas Student Numbers	2,420	2,375
	<hr/>	<hr/>
	15,496	15,707

APPENDIX 1(B): UNIVERSITY STAFF STATISTICS (FTEs)

	1998–99	1999–2000
(a) Academic and academic-related staff		
(i) Established Staff		
<i>Academic Staff</i>		
<i>Departmental:</i>		
Professors	281	304
Readers	189	198
University Lecturers	685	617
University Assistant Lecturers	32	25
Other Academic	155	132
Total Academic Staff	1,342	1,276
<i>Academic-related Staff</i>		
<i>Departmental:</i>		
Administrative	51	40
Curators	7	7
Library	76	74
Technical	56	49
Computer	97	106
Other Academic-related	8	7
	295	283
Research Staff	9	7
<i>Central and service:</i>		
University Administrative Offices	131	145
Fitzwilliam Museum	18	10
Others	27	37
	176	192
Total Academic-Related Staff	480	482
(ii) Unestablished staff		
<i>Departmental and central:</i>		
Academic	70	93
Academic-related	172	237
Research	1,839	1,889
Total Unestablished Staff	2,081	2,219
Total Academic and Academic-related Staff	3,903	3,977
(b) Support Staff		
Technicians	1,143	1,111
Secretarial/Clerical/Library	1,044	1,037
Manual/Ancillary/Catering/Cleaners	362	356
Temporary (171 included at 0.5 FTE)	86	77
Total Support Staff	2,635	2,581
(c) Casual Staff		
Casuals (88 included as 0.5 FTE)	35	44
Total Casual Staff	35	44
TOTAL STAFF	6,573	6,602

Notes

1 This data is a 'snap-shot' of all known University employees in post at 4 February 2000.

2 All figures are for Full Time Equivalent (FTE) staff numbers, as opposed to headcount, in order to facilitate comparisons with pay expenditure, and time series trends.

3 Established staff at Continuing Education have been included in the appropriate Departmental category: academic (6), administrative (9) and other academic-related (2) for 1999–2000; and academic (6), administrative (8) and other academic-related (2) for 1998–99.

APPENDIX 2: CHEST ESTIMATED OUT-TURN 1999–2000

Reconciliation of changes on the Chest out-turn	£000s	£000s
Surplus/(Deficit) as per Original Estimates (as per Allocations Report)		(1,375)
Surplus/(Deficit) as per Revised Estimates		(1,648)
Increase in deficit 1999–2000		(273)
Increase/(Decrease) in income		
HEFCE Grant: Teaching	479	
: Supplement for transitional funding	92	
: Arts and Humanities Research Board Museum funding	(67)	
: Special funding – HERoBaC	200	
: Special funding – Chinese Studies	150	
		854
TTA Grant : Holdback		(7)
Academic Fees: Increase in HEU undergraduate fees	138	
: Increase in HEU postgraduate fees	320	
: Decrease in International fees (U/Grad + P/Grad)	(222)	
		236
Endowment income and interest receivable		626
Other operating income: Increase in VAT partial exemption recovery		1,750
Research Grants and Contracts overheads		481
Other		8
Increase/(Decrease) in income		3,948
(Increase)/Decrease in expenditure		
Estimated balances on General Board and Council Institutions returned to the Chest on 31 July 2000		630
Part-time re-engagement costs of early retirements		(583)
Increase in legal charges		(162)
Exchange losses on EU Research Grants and Contracts		(190)
Expenditure on HEFCE Special funding: HERoBaC		(200)
Expenditure on HEFCE Special funding: Chinese Studies		(150)
Additional Council non-recurrent grant allocated		(170)
Salary Restructuring: Senior Lecturers/Readers delayed to 2000–01 and professorial pay increases delayed to Oct 1999		2,100
Increased growth in New Buildings Enabling Fund		(1,000)
Increased growth in Minor Works Fund		(1,000)
Increased growth in Strategic Planning Reserve		(1,000)
Increased growth in General Board Equipment Fund		(1,000)
Increased growth in Buildings Maintenance Fund		(1,500)
Other		4
(Increase)/Decrease in expenditure		(4,221)
Increase in deficit 1999–2000		(273)

APPENDIX 3(B): DATA FOR TREND GRAPHS

University Income (£m)

	1991– 92	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000(est)	2000– 01(est)
Funding Council Grant plus Fees	95.6	99.4	102.9	109.3	110.7	110.1	113.9	119.6	126.0	125.5
Overseas Fees	9.5	10.6	11.6	12.4	13.6	14.6	16.0	17.9	18.6	19.3
Endowment Income and Interest Receivable*	21.1	24.2	33.1	28.0	28.4	31.0	32.9	34.7	36.6	36.9
Other Income	12.8	13.1	13.1	18.5	28.8	33.1	30.2	37.0	38.5	36.2
Research Grants and Contracts	48.5	63.7	74.9	84.2	85.7	93.6	100.0	109.0	123.4	132.2
	187.5	211.0	235.6	252.4	267.2	282.4	293.0	318.2	343.1	350.1

Purchasing Power of University Income (£m)

HE Pay and Prices Index (HEPPI)	124.7	121.0	116.6	114.3	111.1	109.5	105.4	103.4	100.0	96.1
	1991– 92	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000(est)	2000– 01(est)
Funding Council Grant plus Fees	119.2	120.2	120.0	124.9	123.0	120.6	120.1	123.7	126.0	120.6
Overseas Fees	11.8	12.8	13.5	14.2	15.1	16.0	16.9	18.5	18.6	18.6
Endowment Income and Interest Receivable*	26.3	29.3	38.6	32.0	31.5	34.0	34.7	35.9	36.6	35.5
Other Income	16.0	15.8	15.3	21.1	32.0	36.3	31.8	38.3	38.5	34.8
Research Grants and Contracts	60.5	77.0	87.4	96.2	95.2	102.5	105.4	112.7	123.4	127.1
	233.8	255.1	274.8	288.4	296.8	309.4	308.9	329.1	343.1	336.6

* No account is taken for this purpose of capital gains on investments.

University Expenditure (£m)

	1991– 92	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000(est)	2000– 01(est)
Academic Departments and Services	88.3	94.6	98.8	101.0	108.5	112.9	119.4	128.1	132.8	140.0
Research Grants and Contracts	46.7	56.3	66.6	74.4	75.9	82.5	87.7	95.4	108.4	116.0
Maintenance of Premises	14.9	17.2	19.2	24.8	20.1	23.0	27.6	22.6	25.4	26.1
Administration and Central Services	4.8	5.4	5.9	6.7	8.8	9.4	9.3	12.3	14.2	16.3
General Educational Expenditure	6.0	6.5	6.8	6.4	5.8	6.2	8.1	9.4	9.5	10.1
Capital Expenditure	18.2	15.4	18.8	21.9	28.0	22.6	16.2	23.6	24.6	14.2
Transfers from/to Reserves	(1.0)	5.1	7.7	4.3	2.6	7.6	5.8	1.3	7.4	5.5
Miscellaneous	8.4	9.6	11.2	12.1	16.3	16.6	19.0	27.8	22.8	24.3
	186.3	210.1	235.0	251.6	266.0	280.8	293.1	320.5	345.1	352.5

Purchasing Power of University Expenditure (£m)

HE Pay and Prices Index (HEPPI)	124.7	121.0	116.6	114.3	111.1	109.5	105.4	103.4	100.0	96.1
	1991– 92	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000(est)	2000– 01(est)
Academic Departments and Services	110.1	114.4	115.2	115.4	120.5	123.7	125.9	132.5	132.8	134.6
Research Grants and Contracts	58.2	68.1	77.7	85.0	84.3	90.4	92.5	98.7	108.4	111.5
Maintenance of Premises	18.6	20.8	22.4	28.3	22.3	25.2	29.1	23.4	25.4	25.1
Administration and Central Services	6.0	6.5	6.9	7.7	9.8	10.3	9.8	12.7	14.2	15.7
General Educational Expenditure	7.5	7.9	7.9	7.3	6.5	6.8	8.5	9.7	9.5	9.7
Capital Expenditure	22.7	18.6	21.9	25.0	31.1	24.8	17.1	24.4	24.6	13.6
Transfers from/to Reserves	(1.2)	6.2	9.0	4.9	2.9	8.3	6.1	1.3	7.4	5.3
Miscellaneous	10.5	11.6	13.1	13.8	18.1	18.2	20.0	28.8	22.8	23.4
	232.4	254.1	274.1	287.4	295.5	307.7	309.0	331.5	345.1	338.8

Note :

The College Fee Transfer has been deducted from income and expenditure in order to allow comparability with previous years.

APPENDIX 4: FUNDING FOR HOME STUDENTS (£M)

	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97*	1997– 98*	1998– 99*	1999– 2000*	2000– 01*
Funding Council (incl TTA) T	22.4	28.3	37.9	38.5	37.6	39.9	40.1	40.9	41.9
Home Fees	28.0	25.7	17.5	18.6	18.9	18.9	17.1	17.9	18.5
	50.4	54.0	55.4	57.1	56.5	58.8	57.2	58.8	60.4

Adjusting these figures to take account of the purchasing power of the £

	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000	2000– 01
HE Pay and Prices Index (HEPPI)	121.0	116.6	114.3	111.1	109.5	105.4	103.4	100.0	96.1
Funding Council (incl TTA) T	27.1	33.0	43.3	42.8	41.2	42.1	41.5	40.9	40.3
Home Fees	33.9	30.0	20.0	20.7	20.7	19.9	17.7	17.9	17.8
	61.0	63.0	63.3	63.5	61.9	62.0	59.2	58.8	58.1

TOTAL FEE PAYING STUDENT NUMBERS

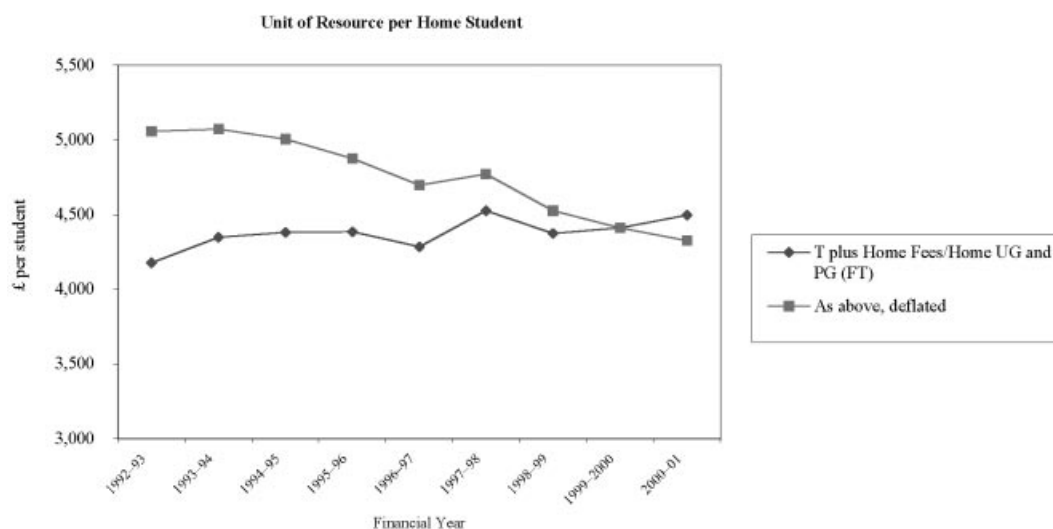
	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000	2000– 01
Home Undergraduates	9,877	10,037	10,162	10,419	10,463	10,376	10,511	10,658	10,710
Home Postgraduates	2,184	2,381	2,482	2,602	2,715	2,617	2,565	2,674	2,720
	12,061	12,418	12,644	13,021	13,178	12,993	13,076	13,332	13,430

UNIT OF RESOURCE PER FULL TIME HOME STUDENT NUMBERS (£)

	1992– 93	1993– 94	1994– 95	1995– 96	1996– 97	1997– 98	1998– 99	1999– 2000	2000– 01
T plus Home Fees/Home UG and PG (FT)	4,179	4,349	4,382	4,385	4,287	4,526	4,374	4,410	4,497
As above, deflated	5,058	5,073	5,006	4,877	4,697	4,772	4,527	4,410	4,326

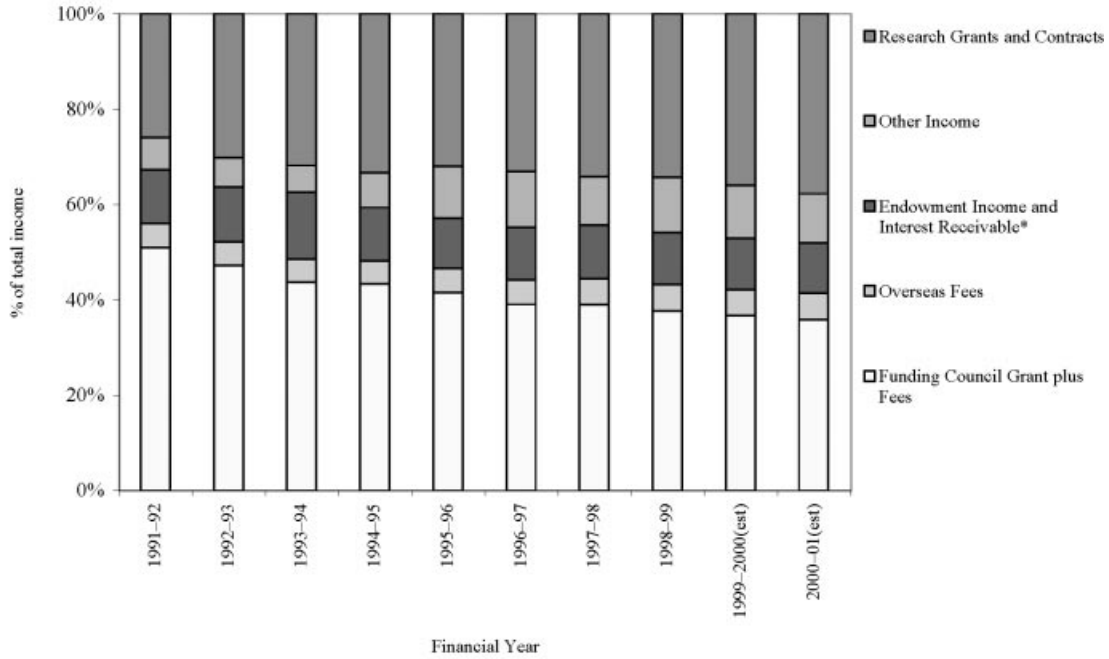
* For these years that element of capital funding now included within the HEFCE Grant has been removed in order to allow comparability with earlier years.

APPENDIX 5(A)



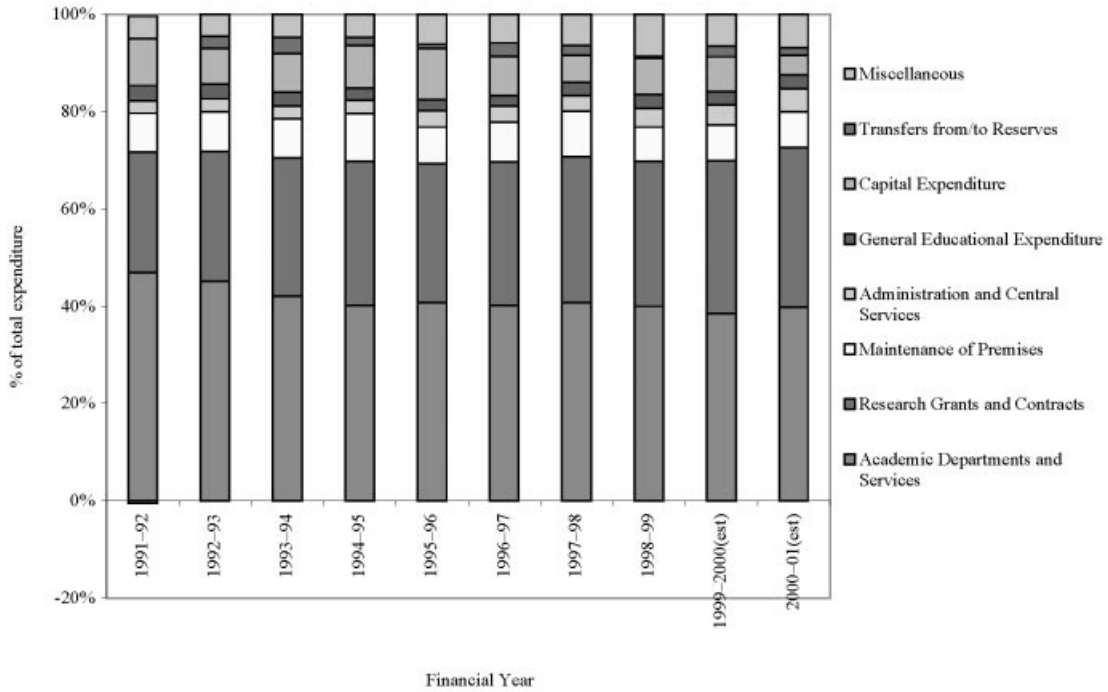
APPENDIX 5(B)

Income source as a percentage of total income

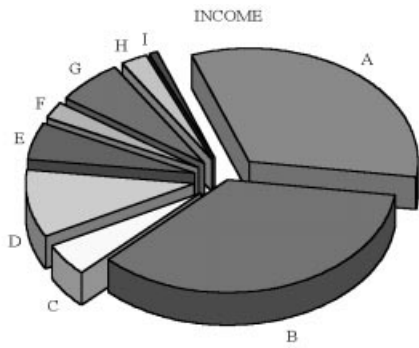


* No account is taken for this purpose of capital gains on investments

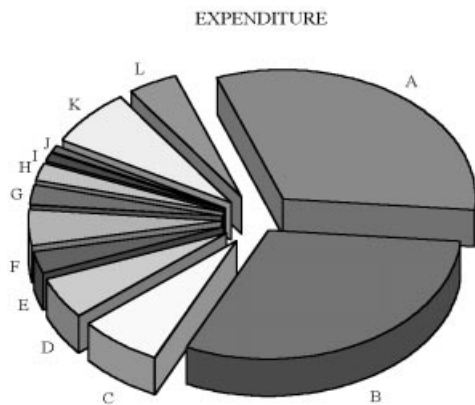
Expenditure type as a percentage of total expenditure



APPENDIX 6: ESTIMATE OF TOTAL INCOME AND EXPENDITURE 2000-2001



Key	Description	2000-01		1999-2000 (revised est)
		£ 000s	%	%
A	Grants From HEFCE and TTA	123,683	33	34
B	Research Grants and Contracts	132,235	35	33
C	Full time Home and EC Fees	18,536	5	5
D	Endowment Income and Interest	36,863	10	10
E	Full time Overseas Fees	19,333	4	5
F	Other Fees and Support Grants	9,911	3	3
G	Other Operating Income	23,489	6	6
H	Other Services Rendered	9,600	3	3
I	Residences and Catering	3,126	1	1
		376,776	100	100



Key	Description	2000-01		1999-2000 (revised est)
		£ 000s	%	%
A	Academic Departments	120,145	32	31
B	Research Grants and Contracts	115,995	31	29
C	Maintenance of Premises	26,106	7	7
D	Academic Services	19,843	5	5
E	Equipment and Furniture	10,450	3	4
F	Administration	16,302	4	4
G	General Education	10,099	3	3
H	Other Services Rendered	8,160	2	2
I	Capital Expenditure	3,768	1	3
J	Residences and Catering	3,707	1	1
K	College Fee Transfer	26,500	7	7
L	Other *	16,021	4	4
		377,096	100	100

* Major Items Included:

Provision for Pay and Prices Increase	5,140
Provision for Salary Restructuring	1,300
Student and Staff Facilities and Amenities	3,046
Provision for New Recurrent Needs	1,467
Provision for Non-recurrent Expenditure	1,350

NOTES TO THE INCOME AND EXPENDITURE ACCOUNT (TABLE 1)

	Original Estimate 1999-2000		Revised Estimate 1999-2000		Estimate 2000-01		Estimate 2001-02		Estimate 2002-03		Estimate 2003-04	
	Chest £000	NonChest £000	Chest £000	NonChest £000	Chest £000	NonChest £000	Chest £000	NonChest £000	Chest £000	NonChest £000	Chest £000	NonChest £000
1 GRANTS FROM THE FUNDING COUNCIL												
(a) Recurrent Grant: Teaching Research	48,119	0	48,598	0	49,412	0	49,967	0	50,527	0	51,092	0
(b) Non-consolidated core funds	61,348	0	61,348	0	62,956	0	63,900	0	64,859	0	65,832	0
(c) Special factors/Non formula funding	8,193	0	8,568	0	8,101	0	7,506	0	6,910	0	6,314	0
(d) Special initiatives	0	1,200	0	5,359	2,000	2,000	2,200	2,200	2,400	2,400	2,600	2,600
TOTAL	117,660	1,200	118,514	5,359	122,469	2,000	123,373	2,200	124,696	2,400	125,838	2,600
2. ACADEMIC FEES AND SUPPORT GRANTS												
(a) Full-time Home and EC Students	17,080	250	17,542	398	18,536	380	18,809	397	19,206	415	19,901	434
(b) Full-time Overseas Students	17,745	800	18,625	1,102	19,333	1,148	18,903	1,200	19,650	1,254	20,428	1,311
(c) Other Fees and Support Grants	800	5,950	800	8,815	9,911	816	832	9,351	849	9,613	866	9,884
TOTAL	35,625	7,000	35,865	10,315	47,780	10,623	38,544	10,948	49,492	11,282	41,483	11,629
3. ENDOWMENT INCOME AND INTEREST RECEIVABLE												
(a) Trust Funds	15	10,600	19	12,373	20	12,560	21	13,353	22	14,154	23	15,003
(b) Special Funds	0	11,950	0	13,949	0	14,160	0	15,054	0	15,957	0	16,914
(c) General Endowments	4,720	0	5,456	0	5,284	0	5,601	0	5,937	0	6,293	0
(d) Other Funds and Reserves	2,125	2,350	4,475	2,011	4,839	2,785	2,177	2,961	2,307	3,139	2,446	3,327
TOTAL	6,860	24,900	7,486	29,065	36,863	29,505	37,799	31,368	39,167	33,250	41,516	35,244
11 ACADEMIC DEPARTMENTS EXPENDITURE												
(a) School of the Arts and Humanities	9,978	1,536	10,511	1,766	11,255	1,862	11,940	1,953	12,458	2,049	13,072	2,150
(b) School of the Humanities and Social Sciences	12,888	2,989	13,539	3,437	16,679	3,624	15,878	3,801	16,502	3,988	17,246	4,184
(c) School of the Physical Sciences	17,513	6,000	23,513	17,764	24,664	19,402	20,590	7,631	21,485	8,006	22,551	8,400
(d) School of Technology	10,996	2,524	13,520	11,467	12,566	3,061	13,319	3,211	13,896	3,369	14,467	3,535
(e) School of the Biological Sciences	16,379	5,349	17,228	16,607	17,055	6,485	18,096	6,803	18,891	7,137	19,819	7,488
(f) School of Clinical Medicine	4,853	9,354	14,207	5,001	11,342	5,113	16,455	5,432	11,897	17,329	18,166	13,096
(g) Other Academic Institutions	1,720	4,248	5,968	1,712	4,885	899	1,005	5,403	1,085	5,669	1,176	5,948
TOTAL	74,327	32,000	106,327	76,601	120,145	38,800	86,260	40,699	126,959	90,001	132,701	44,801
23 ALLOCATIONS TO CAPITAL EXPENDITURE												
(a) Minor Works Fund	3,000	0	4,000	0	3,000	0	4,000	0	4,000	0	4,000	0
(b) New Buildings Enabling Fund	0	0	1,000	0	0	0	0	0	0	0	0	0
(c) Planning Consultancy and Feasibility Studies	50	0	50	0	50	0	50	0	50	0	50	0
(d) Other	0	700	0	2,900	2,900	0	718	736	736	754	754	773
TOTAL	3,050	700	3,750	5,050	7,950	3,050	4,050	736	4,786	4,050	4,804	773
25 RESEARCH GRANTS AND CONTRACTS												
(a) Salaries and wages	0	63,400	0	65,896	0	70,509	0	75,445	0	80,726	0	86,377
(b) Equipment	0	10,660	0	12,511	0	13,387	0	14,324	0	15,327	0	16,400
(c) Other	0	28,840	0	29,999	0	32,099	0	34,346	0	36,750	0	39,323
TOTAL	0	102,900	0	108,406	0	115,995	0	124,115	0	132,803	0	142,100